



COPS Application

ILCPD00

Chicago, City of



COMMUNITY ORIENTED POLICING SERVICES
U.S. DEPARTMENT OF JUSTICE

Section 1: COPS PROGRAM REQUEST

Federal assistance is being requested under the following COPS program:

Verify the COPS grant program for which you are requesting federal assistance. A separate application must be completed for each COPS program for which you are applying. Please ensure that you read, understand, and agree to comply with the applicable grant terms and conditions as outlined in the COPS Application Guide before finalizing your selection.

The program you have selected is: COPS Hiring Program

Section 2: Agency Eligibility Information

A. Type of Agency (select one)

- ☒ Law Enforcement
- ☐ Non-Law Enforcement

From the list below, please select the type of agency which best describes the applicant.

Law Enforcement Entities

Municipal Police

2A. CHP Eligibility Questions

In this section, we will ask you several questions about your law enforcement agency operations and authority to determine your eligibility to apply for a COPS Hiring Program (CHP) grant. Please note that CHP applicants must have a police department which is operational as of June 23, 2014, or receive services through a new or existing contract for law enforcement services. Applicants must also maintain primary law enforcement authority for the population to be served.

Additionally, if funds under this program are to be used as part of a written contracting arrangement for law enforcement services (e.g., a town which contracts with a neighboring sheriff's department to receive services), the government agency wishing to receive law enforcement services must be the legal applicant in this application (although we will ask you to supply some information about the contract service provider later).

Part I. Law Enforcement Agency Operations

A law enforcement agency is established and operational if the jurisdiction has passed authorizing legislation and it has a current operating budget.

Q1) Is your agency established and currently operational?

Yes

Part II. Contracting to Receive Law Enforcement Services

Q1) If awarded, does your agency plan to use funds awarded under this grant to establish or supplement a written contract for law enforcement services (e.g., a town contracting for services with a nearby sheriff's department)?

No

Part III. Law Enforcement Agency Authority

An agency with primary law enforcement authority is defined as the first responder to calls for service for all types of criminal incidents within its jurisdiction. Agencies are not considered to have primary law enforcement authority if they only: respond to or investigate specific type(s) of crime(s); respond to or investigate crimes within a correctional institution; serve warrants; provide courthouse security; transport prisoners; and/or have cases referred to them for investigation or investigational support.

Q1) Based on the definition above, does your agency have primary law enforcement authority? [Or, if contracting to receive services, does the agency that will be providing law enforcement services have primary law enforcement authority for the population to be served?]

Yes

Section 3: GENERAL AGENCY INFORMATION

A. Applicant ORI Number ILCPD00

The ORI number is assigned by the FBI and is your agency's unique identifier. The COPS Office uses the first seven characters of this number. The first two letters are your state abbreviation, the next three numbers are your county's code, and the next two numbers identify your jurisdiction within your county. If you do not currently have an ORI number, the COPS Office will assign one to your agency for the purpose of tracking your grant. ORI numbers assigned to agencies by the COPS Office may end in "ZZ."

B. Applicant Data Universal Numbering System (DUNS) Number:

105233493

A Data Universal Numbering System (DUNS) number is required prior to submitting this application. A DUNS number is a unique nine or thirteen digit sequence recognized as the universal standard for identifying and keeping track of entities receiving federal funds. For more information about how to obtain a DUNS number, please refer to the "How to Apply" section of the COPS Application Guide.

C. System for Award Management (SAM)

The System for Award Management (SAM) replaces the Central Contractor Registration (CCR) database as the repository for standard information about federal financial assistance applicants, recipients, and sub-recipients. DOJ requires that all applicants (other than individuals) for federal financial assistance maintain current registrations in the SAM database. Please note that Applicants must update or renew their SAM registration at least once a year to maintain active status.

Applicants that were previously registered in the CCR database must, at a minimum:

Create a SAM account

Log into SAM and migrate permissions to the SAM account (all the entity registrations and records should already have been migrated).

Applicants that were not previously registered in the CCR database must register in SAM prior to registering in Grants.gov. Information about SAM Registration procedures can be accessed at <http://www.sam.gov>.

For more information about how to register with SAM, please refer to the "How to Apply" section of the COPS Application Guide.

Your SAM Registration is set to expire on:

01/28/2015

Please enter date in MM/DD/YYYY format.

Note: If your SAM registration is set to expire prior to September 30, 2014, please renew your SAM Registration prior to completing this application. Contact the SAM Service Desk at 866-606-8220 or view/update your registration information at <http://www.sam.gov>

D. Geographic Names Information System (GNIS) ID:

0428803

Please enter your Geographic Names Information System (GNIS) Identification Number. This is a unique ID assigned to all geographic entities by the U.S. Geological Survey. To look up your GNIS Feature ID, please go to the website: <http://geonames.usgs.gov/domestic/index.html>. For more information about how to obtain a GNIS number, please refer to the "How to Apply" section of the COPS Application Guide.

E. Cognizant Federal Agency:

Department of Health and Human Services

Select the legal applicant's Cognizant Federal Agency. A Cognizant Federal Agency, generally, is the federal agency from which your jurisdiction receives the most federal funding. Your Cognizant Federal Agency also may have been previously designated by the Office of Management and Budget. Applicants that have never received federal funding should select the "Department of Justice" as the Cognizant Federal Agency.

F. Fiscal Year:

From

01/01/2014

to

12/31/2014

Please enter date in MM/DD/YYYY format.

G. Law Enforcement Agency Sworn Force Information

1. Enter the Fiscal Year Budgeted Sworn Force Strength for the current fiscal year below. The budgeted number of sworn officer positions is the number of sworn positions funded in your agency's budget, including funded but frozen positions, as well as state, Bureau of Indian Affairs, and/or locally funded vacancies. Do not include unfunded vacancies or unpaid/reserve officers.

a. Number of officers funded in agency's current fiscal year budget:

Full-Time:

12538

Part-Time:

0

H. Civilian Staffing

1. Enter the number of civilian positions funded in agency's current fiscal year budget:

a. Number of civilian positions funded in agency's current fiscal year budget:

Full-Time:

783

Part-Time:

896

I. U.S. Department of Justice and Other Federal Funding

Applicants are required to disclose whether they have pending applications for federally funded assistance or active federal grants that support the same or similar activities or services for which grant funding is being requested under this application.

Be advised that as a general rule COPS grant funding may not be used for the same item or service funded through another funding source. However, leveraging multiple funding sources in a complementary manner to implement comprehensive programs or projects is encouraged and is not seen as inappropriate. To aid the COPS Office in the prevention of awarding potentially duplicative funding, please indicate whether your agency has a pending application and/or an active grant with any other federal funding source (e.g. direct federal funding or indirect federal funding through State sub-awarded federal funds) which supports the same or similar activities or services as being proposed in this COPS application. (check all that apply):

Bureau of Justice Assistance (BJA)

- ☒ Pending Application
☒ Active Grant

Office of Justice Programs (OJP)

- ☒ Pending Application
☒ Active Grant

Office of Juvenile Justice and Delinquency Prevention (OJJDP)

- ☒ Pending Application
☒ Active Grant

Office on Violence Against Women (OVW)

- ☐ Pending Application
☐ Active Grant

National Institute of Justice (NIJ)

- ☒ Pending Application
☒ Active Grant

Office for Victims of Crime (OVC)

- ☐ Pending Application
☐ Active Grant

Other Department of Justice Funding

- ☐ Pending Application
☐ Active Grant

Other Federal or State Sub-awarded Funding

- ☒ Pending Application
☒ Active Grant

Please specify the other Federal or State sub-awarded funding source(s)

- ☐ No Federal or State Sub-awarded Funding

Section 4: EXECUTIVE INFORMATION

Note: Listing individuals without ultimate programmatic and financial authority for the grant could delay the review of your application, or remove your application from consideration.

A. Law Enforcement Executive/Agency Executive Information:

For Law Enforcement Agencies: This is the highest ranking law enforcement official within your jurisdiction (e.g., Chief of Police, Sheriff, or equivalent). This section below has been pre-populated from the information listed in your COPS Agency Portal Account. If this information is no longer correct, please log in to your COPS Agency Portal account and make the necessary corrections before proceeding with this application. For assistance, please call the COPS Office Response Center at 800-421-6770.

For Non-Law Enforcement Agencies: This is the highest ranking individual in the applicant agency (e.g., CEO, President, Chairperson, Director, etc.) who has the authority to apply for this grant on behalf of the applicant agency. If the grant is awarded, this position would ultimately be responsible for the programmatic implementation of the award. This section below has been pre-populated from the information listed in your COPS Agency Portal Account. If this information is no longer correct, please log in to your COPS Agency Portal account and make the necessary corrections before proceeding with this application. For assistance, please call the COPS Office Response Center at 800-421-6770.

Your agency previously indicated that if awarded, this grant would be used in a written contracting arrangement to receive law enforcement services (e.g., a town which is contracting with a neighboring sheriff's department to receive services). Therefore, question 4A, should display the executive information for the agency which will be providing the law enforcement services under this grant (e.g., Sheriff). Question 4B should display the executive information for the government agency which will be receiving the law enforcement services under this grant (i.e., Mayor, City Manager, etc.). Before proceeding with this application, we ask that you please log onto the COPS Agency Portal to update the agency providing law enforcement services as your Law Enforcement Executive/Agency Executive Information. This information will be used to populate Section 4 of this application, so please ensure its accuracy.

Title: Superintendent

First Name: Garry

MI: F

Last Name: McCarthy

Suffix:

Agency Name: Chicago, City of

Street1: 3510 South Michigan Avenue

Street2:

City: Chicago

State: IL

Zip / Postal Code: 60653

Telephone Number: 3127456100

Fax: 3127456925

Email: suptadmin@chicagopolice.org

Edit Contact Information

B. Government Executive/Financial Official Information:

For Government Agencies: This is the highest ranking government official within your jurisdiction (e.g., Mayor, City Administrator, or equivalent). This section below has been pre-populated from the information listed in your COPS Agency Portal Account. If this information is no longer correct, please log in to your COPS Agency Portal account and make the necessary corrections before proceeding with this application. For assistance, please call the COPS Office Response Center at 800-421-6770.

For Non-Government Agencies: This is the financial official who has the authority to apply for this grant on behalf of the applicant agency (e.g., CFO, Treasurer, etc.). If the grant is awarded, this position would ultimately be responsible for the financial management of the award. Please note that information for non-executive positions (e.g., clerks, trustees, etc.) is not acceptable. This section below has been pre-populated from the information listed in your COPS Agency Portal Account. If this information is no longer correct, please log in to your COPS Agency Portal account and make the necessary corrections before proceeding with this application. For assistance, please call the COPS Office Response Center at 800-421-6770.

Title: Mayor

First Name: Rahm

MI:

Last Name: Emanuel

Suffix:

Agency Name: Chicago, City of

Street1: 121 North LaSalle Street

Street2:

City: Chicago

State: IL

Zip / Postal Code: 60602

Telephone Number: 3127443300

Fax: 3127448045

Email: janey.rountree@cityofchicago.org

Edit Contact Information

C. Application Contact Information:

Application Contact: Enter the application contact's name and contact information.

Agency Name:

Chicago Police Departm
City:
Chicago
Email:
tomas.maulawin@chicag
Fax:
312-745-6925
First Name:
Tomas
Last Name:
Maulawin
MI:

State:
IL
Street1:
3510 South Michigan Av
Street2:

Suffix:
Select One...
Telephone Number:
312-745-5753
Title:
Mr
Zip / Postal Code:
60653

SECTION 5A: COPS HIRING PROGRAM OFFICER REQUEST

Part I

Enter the Fiscal Year Actual Sworn Force Strength as of the date of this application. *The actual number of sworn officer positions is the actual number of sworn positions employed by your agency as of the date of this application. Do not include funded but currently vacant positions or unpaid positions.*

Number of officers employed by your agency as of the date of this application:

Full-Time:

12053

Part-Time:

0

What is the actual population your department serves as the primary law enforcement entity?

2695598

This may or may not be the same as your census population. For example, a service population may be the census population minus incorporated towns and cities that have their own police department within your geographic boundaries or estimates of ridership (e.g., transit police) or visitors (e.g., park police). An agency with primary law enforcement authority is defined as having first responder responsibility to calls for service for all types of criminal incidents within its jurisdiction.

For FY 2014 COPS Hiring Program (CHP) applicants are eligible to apply for the number of officers equal to 5% of their actual sworn force strength up to a maximum of 25 officers. Agencies with a sworn force of twenty or fewer officers may apply for one (1) officer position. Agencies with a service population of 1 million or above may apply for up to 25 officer positions; however, agencies with a service population less than 1 million may apply for up to 15 officer positions.

FY 2014 CHP grant funds cover 75 percent of the approved entry-level salary and fringe benefits of each newly-hired and/or rehired, full-time sworn career law enforcement officer for three years (36 months) up to \$125,000 per officer position. CHP grant funding will be based on your agency's current entry-level salaries and fringe benefits for full-time sworn officers.

If your agency requests officers to be deployed as School Resource Officers (SRO), ALL OF THE OFFICER POSITIONS REQUESTED BELOW MUST BE USED TO DEPLOY FULL-TIME SCHOOL RESOURCE OFFICERS. Do not request more officer positions than your agency can expect to deploy in this capacity. A "school resource officer" is a career law enforcement officer, with sworn authority,

who is engaged in community policing activities and is assigned by the employing agency to work in collaboration with schools. If awarded a grant for SRO position(s), please note that the COPS Office requires that the officer(s) deployed into the SRO position(s) spend a minimum of 75 percent of their time in and around primary and secondary schools working on school and youth-related activities. There must be an increase in the level of community policing activities performed in and around primary or secondary school in the agency's jurisdiction as a result of the grant. The time commitment of the funded officers must be above and beyond the amount of time that the agency devoted to the schools before receiving the grant.

In addition, in section 6B you must select "School Based Policing through School Resource Officers" under "Child and Youth Safety Problems" as your problem area.

Is your agency requesting that all of these officer positions be deployed as School Resource Officers (SROs)?

No

Based on the information provided in this application:

Your agency is eligible to apply for up to the number of officer position(s) shown below.

25

How many entry-level, full-time officer positions is your agency requesting in this application?

25

IMPORTANT: If you later return to this section of the application and change the above number of officers you are requesting, you must then go to Section 14A, Part 1 to allow the application to recalculate your budget figures. You will also need to adjust your projection of your Federal/Local share costs in the chart located in Section 14A, Part 3. Failure to do this will cause a conflict in your budget submission.

Next, your agency must allocate the number of positions requested under each of the three hiring categories described below based on your agency's current needs at the time of this application. Please be mindful of the initial three-year grant period, and your agency's ability to fill and retain the officer positions awarded, while following your agency's established hiring policies and procedures. CHP grant awards will be made for officer positions requested in each of the three hiring categories, and grantees are required to use awarded funds for the specific categories awarded.

It is imperative that your agency understand that the COPS statutory nonsupplanting requirement mandates that grant funds may only be used to supplement (increase) a grantee's law enforcement budget for sworn officer positions and may not supplant (replace) state, local, or tribal funds that a grantee otherwise would have spent on officer positions if it had not received a grant award. This means that if your agency plans to:

(a) Hire new officer positions (including filling existing vacancies that are no longer funded in your agency's budget): If awarded under this category, a department must hire these new additional positions on or after the official grant award start date, above its current budgeted (funded) level of sworn officer positions, and otherwise comply with the nonsupplanting requirement as described in detail in the Grant Owner's Manual.

(b) Rehire officers who have been laid off by any jurisdiction as a result of state, local, or tribal budget cuts: It must rehire the officers on or after the official grant award start date, maintain documentation showing the date(s) that the positions were laid off and rehired, and otherwise comply with the nonsupplanting requirement as described in detail in the Grant Owner's Manual.

(c) Rehire officers who are (at the time of application) currently scheduled to be laid off (by your jurisdiction) on a specific future date as a result of state, local, or tribal budget cuts: It must continue to fund the officers with its own funds from the grant award start date until the date of the scheduled lay-off (for example, if the CHP award start date is September 1 and the lay-offs are scheduled for November 1, then the CHP funds may not be used to fund the officers until November 1, the date of the scheduled lay-off); identify the number and date(s) of the scheduled lay-off(s) in this application (see below); maintain documentation showing the date(s) and reason(s) for the lay-off; and otherwise comply with the nonsupplanting requirement as described in detail in the Grant Owner's Manual. [Please note that as long as your agency can document the date that the lay-off(s) would occur if CHP funds were not available, it may transfer the officers to the CHP funding on or immediately after the date of the lay-off without formally completing the administrative steps associated with a lay-off for each individual officer.]

Documentation that may be used to prove that scheduled lay-offs are occurring for local economic reasons that are unrelated to the availability of CHP grant funds may include (but are not limited to) council or departmental meeting minutes, memoranda, notices, or orders discussing the lay-offs; notices provided to the individual officers regarding the date(s) of the lay-offs; and/or budget documents ordering departmental and/or jurisdiction-wide budget cuts. These records must be maintained with your agency's CHP grant records during the grant period and for three years following the official closeout of the CHP grant in the event of an audit, monitoring, or other evaluation of your grant compliance.

If your agency's request is funded, it will have the opportunity after the award announcement to request a grant modification to move awarded funding into the category or categories that meet your agency's law enforcement needs at that time (including updating the dates of future scheduled lay-offs).

If you need additional information regarding requesting a modification, please contact the COPS Office Response Center at 1-800-421-6770.

Category A: New, additional officer positions (including filling existing vacancies no longer funded in your agency's budget).

Category A Request:

25

Category B: Rehire officers laid off (from any jurisdiction) as a result of state or local budget reductions.

Category B Request:

0

Category C: Rehire officers scheduled to be laid off (at the time of the application) on a specific future date as a result of state or local budget reductions.

We also need some information about when the layoff of officers in this category is scheduled to occur. In the space below, please indicate when the officer(s) specified in this category are scheduled to be laid off.

To enter your information, click "Add a New Officer Layoff". To save your submission, click "Save" before moving to the next section.

Number of Officers Date these officers are scheduled to be laid off

Add a New Officer Layoff

Total Category C Request: 0

Part 3

As noted previously, the number of officers an applicant can request under the COPS Hiring Program in 2014 is capped. However, the COPS Office is interested in learning more about the overall need for officer positions within your department. Therefore, if no officer caps were in place, what is the total number of officers that your agency would be requesting in this application?

Hire

486

Re-Hires

0

Lay Off

0

Part 4

1. Under the 2014 COPS Hiring Program, applicants are not required to hire post-September military veterans as new hires. However, the COPS Office supports the Attorney General's commitment to hiring military veterans whenever possible. Please note that if your agency checks "yes" to the question below, your agency will be required to hire at least one military veteran.

Does your agency commit to hire and/or rehire at least one post-September 11, 2001 military veteran (as defined in the Application Guide) for the officer position(s) you have requested?

☒ Yes

☐ No

If yes, how many officer position(s) from your total 2014 CHP request does your agency anticipate filling with post-September 11, 2001 military veterans?

10

Section 6B: LAW ENFORCEMENT & COMMUNITY POLICING STRATEGY

Community Policing Strategy

COPS Office grants must be used to reorient the mission and activities of law enforcement agencies through initiating community policing or enhancing their involvement in community policing with the officers hired under this grant program, or an equal number of veteran officers who have been redeployed to implement this plan after hiring the entry-level COPS-funded officers. If awarded funds, your responses to sections II(a) and II(b) that follow will constitute your agency's community policing strategy under this grant. Your organization may be audited or monitored to ensure that it is initiating or enhancing community policing in accordance with this strategy. The COPS Office may also use this information to understand the needs of the field, and potentially provide for training, technical assistance, problem solving and community policing implementation tools. Please note that the COPS Office recognizes that your COPS-funded officer(s) (or an equal number of veteran officers who are redeployed after hiring the entry-level COPS-funded officers) will engage in a variety of community policing activities and strategies, including participating in some or all aspects of your identified community policing strategy. Your community-policing strategy may be influenced and impacted by others within and outside of your organization; this is considered beneficial to your community policing efforts.

At any time during your grant, you should be prepared to demonstrate (1) the community policing activities engaged in prior to the grant award that are detailed in section I of this application and (2) how the grant funds and grant-funded officers (or an equal number of redeployed veteran officers) were specifically used to enhance (increase) or initiate community policing activities according to your community policing strategy contained in sections II (a) and II (b) of this application.

Finally, we also understand that your community policing needs may change during the life of your grant. Minor changes to this strategy may be made without prior approval of the COPS Office; however, grantees will be required to report on progress and/or changes to the community policing strategy (if any) through required progress reports. If your agency's community policing strategy changes significantly, you must submit those changes to the COPS Office for approval. Changes are "significant" if they deviate from the specific crime problems(s) originally identified and approved in the community policing strategy submitted with the application. In some cases, changes to the approved community policing approaches may also be deemed significant and may require approval of a modified community policing strategy by the COPS Office, depending on the scope and nature of those changes as identified in the quarterly progress reports.

Finally, we also understand that your community policing needs may change during the life of your grant. Minor changes to this strategy may be made without prior approval of the COPS Office; however, grantees will be required to report on progress and/or changes to the community policing strategy (if any) through required progress reports. If your agency's community policing strategy changes significantly, you must submit those changes to the COPS Office for approval. Changes are "significant" if they deviate from the specific crime problems(s) originally identified and approved in the

community policing strategy submitted with the application. In some cases, changes to the approved community policing approaches may also be deemed significant and may require approval of a modified community policing strategy by the COPS Office, depending on the scope and nature of those changes as identified in the quarterly progress reports.

The following is the COPS Office definition of community policing that emphasizes the primary components of community partnerships, organizational transformation, and problem solving. Please refer to the COPS Office web site (<http://www.cops.usdoj.gov>) for further information regarding this definition.

Community policing is a philosophy that promotes organizational strategies, which support the systematic use of partnerships and problem solving techniques, to proactively address the immediate conditions that give rise to public safety issues, such as crime, social disorder, and fear of crime.

The COPS Office has completed the development of a comprehensive community policing self-assessment tool for use by law enforcement agencies. Based on this work, we have developed the following list of primary sub-elements of community policing. Please refer to the COPS Office web site (<http://www.cops.usdoj.gov>) for further information regarding these sub-elements.

Community Partnerships:

Collaborative partnerships between the law enforcement agency and the individuals and organizations they serve to both develop solutions to problems and increase trust in police.

Other Government Agencies

Community Members/Groups

Non-Profits/Service Providers

Private Businesses

Media

Organizational Transformation:

The alignment of organizational management, structure, personnel and information systems to support community partnerships and proactive problem-solving efforts.

Agency Management

Climate and culture

Leadership

Labor relations

Decision-making

Strategic planning

Policies

Organizational evaluations

Transparency

Organizational Structure

Geographic assignment of officers

Despecialization

Resources and finances

Personnel

Recruitment, hiring and selection

Personnel supervision/evaluations

Training

Information Systems (Technology)

Communication/access to data

Quality and accuracy of data

Problem Solving:

The process of engaging in the proactive and systematic examination of identified problems to develop effective responses that are rigorously evaluated.

Scanning: Identifying and prioritizing problems

Analysis: Analyzing problems

Response: Responding to problems

Assessment: Assessing problem-solving initiatives

Using the Crime Triangle to focus on immediate conditions (Victim/Offender/Location)

I. Current Organizational Commitment to Community Policing

1) For each of the following statements, please answer in terms of existing agency policies and practices as they relate to collaborative partnerships and problem solving activities. Please check all that apply.

ACTIVITY

Community Partnerships

Problem Solving

Q1a. The agency mission statement, vision, and/or goals includes references to:



Q1b. The agency strategic plan includes specific goals and/or objectives relating to:



Q1c. The agency recruitment, selection and hiring processes include elements relating to:



Q1d. Annual line officers valuations assess performance in:



Q1e. Supervisor and manager evaluations assess performance in:



Q1f. Line officers receive regular (at least once every two years) training in:



2) Which of the following internal management practices does your agency currently employ? Please check all that apply.

☒ Assignment of officers to specific neighborhoods or areas for longer periods of time to enhance customer service and facilitate more contact between police and citizens

☒ Assignment of officers to geographic hot spots that are defined statistically by creating incident maps to identify geographic clustering of crime and disorder

☒ Monitoring calls for service, dispatch records, crime incidents, and/or other activity for specific street addresses, buildings, or locations that account for a disproportionate share of crime or disorder

☒ In-service training for officers on basic and advanced community policing principles

☒ Defined community policing roles and expectations for officers

☒ Early Intervention Systems that help identify officers who may be showing signs of stress, personal problem, and questionable work conduct

☒ Alternatives to formal disciplinary practices that encourage ethical behavior

☒ Police officers ethical conduct initiative

☒ Use of a departmental values statement to establish officer standards of behavior and guide disciplinary processes

☐ None of the above

3) Which of the following do you count/measure to annually assess your agency's overall performance? Please check all that apply.

☒ Response times

☒ Reported crimes

☒ Reported incidents

☒ Arrests and citations

☒ Problem solving outcomes

☒ Department employee satisfaction

☒ Clearance rates

☒ Complaints of officer behavior

☒ Reduction of crime in identified hot spots

☒ Repeat calls for service

- ☒ Social disorder/nuisance problems (e.g. graffiti, panhandling, loitering, etc.)
- ☒ Satisfaction with police services
- ☐ Fear of crime
- ☒ Victimization (i.e. non-reported crime)
- ☒ Community meetings held/attended
- ☒ Use of force incidents
- ☒ Meeting the priorities as identified in your agency strategic plan
- ☐ My agency does not conduct annual assessments of overall performance

4) Through which of the following does your agency routinely share information with community members? Please check all that apply.

- ☒ Neighborhood, beat, and/or school meetings
- ☒ Local media outlets
- ☒ Agency newsletter
- ☒ Neighborhood newsletters
- ☒ Agency website
- ☒ Social networking (Blogs, Twitter feeds, Facebook pages, etc.)
- ☒ Citizen alert system (telephone, email, text, etc.)
- ☒ Citizen alert system that is geographically targeted, based on updated hot spots
- ☐ Public access television/radio
- ☒ Community organization board membership
- ☒ Public forums with Chief/Sheriff/Command staff
- ☒ Posters, billboards, flyers
- ☐ None of the above

5) Through which of the following ways does your agency routinely participate in collaborative efforts with federal, tribal, state, and/or local law enforcement agencies? Please check all that apply.

- ☒ NCIC/CJIS
- ☒ Co-located staff or detail assignments, independent of task forces
- ☒ Database systems that facilitate data and information sharing
- ☒ Interoperable communication systems
- ☒ Federally initiated task forces (e.g. HIDTA's, Fusion centers, JTTF's, etc.)
- ☒ Other multi-agency task forces
- ☐ None of the above

6) Through which of the following ways does your agency formally involve community members in influencing agency practices and operations? Please check all that apply.

- ☒ Citizen police academies
- ☒ Volunteer activities
- ☐ Auxiliary police programs
- ☒ Civilian review boards (e.g. disciplinary review boards)
- ☒ Citizen advisory groups (i.e. informal advisory function)
- ☐ Involvement in hiring decisions (i.e. interview panels, selection boards, etc.)
- ☐ Involvement in contributing to annual line officer performance reviews
- ☐ Representation on promotional boards
- ☐ Participation in accountability and performance reporting and tracking meetings

- ☐ Participation in complaint resolution process (i.e. formal mediation, disciplinary boards, etc.)
- ☐ None of the above

II(a) Proposed Community Policing Strategy: Problem Solving and Partnerships

COPS grants must be used to initiate or enhance community policing activities with either the newly-hired officers funded by this grant program, or an equivalent number of veteran officers who are redeployed to implement this community policing plan after hiring the additional entry-level officers with COPS grant funds. In this section you will be asked to identify the crime and disorder **problem** and the **partners** to be engaged through your requested COPS funding. Identifying the specific problem and partnerships that your agency plans to focus on is important to ensure that you satisfy the requirements for COPS funding under this program and to ensure that ultimately the use of these funds additional grant-funded officers (or equivalent number of redeployed veteran officers) will initiate or enhance your agency's capacity to implement community policing strategies and approaches.

7) Using the following list, select a problem that will be addressed by the officers requested in this application. Please choose the option that best fits your problem. **You may select one problem** to address through this grant funding.

When identifying a problem, it is important to think about the nature of similar incidents that taken together comprise the problem, and accordingly describe it in precise, specific terms (e.g. "burglary of retail establishments", rather than just "burglary"). In doing this, it can be helpful to consider all aspects of the problem, including the likely offenders, the suitable targets/victims, and how these come together in time and space.

Child and Youth Safety Problems

- ☐ Child Sexual Predators and Internet Safety
- ☐ Children Exposed to Violence
- ☐ Youth Crime and Delinquency

Child and Youth Safety Problems

School Based Policing through School Resource Officers

By selecting this problem, your agency is committing that all officer positions requested in this application will be used to deploy School Resource officers and address problems and issues in and around primary and secondary schools.

Please specify the areas the School Resource Officer(s) would address (check all that apply):

- ☐ Address crime problems, gangs, and drug activities affecting or occurring in or around an elementary or secondary school;
- ☐ Develop or expand crime prevention efforts for students;
- ☐ Educate youth in crime prevention and safety;
- ☐ Develop or expand community justice initiatives for students;
- ☐ Train students in conflict resolution, restorative justice, and crime awareness;
- ☐ Assist in the identification of physical changes in the environment that may reduce crime in or around the school;
- ☐ Assist in developing school policy that addresses crime and to recommend procedural changes to enhance school safety.
- ☐ Other Child and Youth Safety Problem (please specify)

Drug Related Problems

- ☐ Drug Manufacturing/Trafficking
- ☐ Drug Dealing
- ☐ Drug Abuse
- ☐ Other Drug Related Problem (please specify)

Homeland Security Problems

- ☐ Protecting Critical Infrastructure Problems
- ☐ Information or Intelligence Problems
- ☐ Other Homeland Security Problem (please specify)

Non-Violent Crime Problems

- ☐ Burglary

- ☐ Fraud
- ☐ Larceny/Theft (Non-Motor Vehicle)
- ☐ Motor Vehicle Theft/Theft from Motor Vehicle
- ☐ Vandalism
- ☐ Social Disorder
- ☐ Quality of Life Problem
- ☐ Prostitution
- ☐ Other Non-Violent Crime Problem (please specify)

Trust Problems

- ☐ Fair and Impartial Problems
- ☐ Problems with Transparency
- ☐ Problems with Fairness and Respect
- ☐ Other Trust Problem (please specify)

Traffic/Pedestrian Safety Problems

- ☐ Traffic Congestion
- ☐ Pedestrian Safety
- ☐ Driver Safety
- ☐ Traffic Accidents
- ☐ Other Traffic/Pedestrian Safety Problem (please specify)

Violent Crime Problems

- ☐ Assault
- ☐ Homicide
- ☐ Rape
- ☐ Robbery
- ☐ Domestic/Family Violence
- ☒ Gun Violence

Please specify your gun violence problem: for example, gun violence by juvenile gang members, drug related gun violence, etc.

Gun violence relating to §

Please also include the number of aggravated assaults with a firearm in your jurisdiction during the last calendar year:

3978

- ☐ Other Violent Crime Problem (please specify)

7a) Briefly describe the problem that you will address with these grant funds and your approach to the problem. [4,000 characters or less]

Similar to other major urban centers in the United States, violent crime in Chicago has declined over the last two decades, with overall crime in 2013 further trending downward. In fact, CPD achieved a two-year low in documented shooting incidents last year at 1,863, compared to 2,448 in 2012 and 2,335 in 2011. Also, there were 89 fewer murders compared to 2012's 503 incidents. Overall, Chicago achieved a 16 percent reduction in crime in 2013. However, Chicago continues to experience an unacceptably high crime rate relating to guns and gun violence. Many individuals and families live in Chicago communities and neighborhoods where, tragically, fear of public violence is "normal" and proximity to violent firearms-related incidents is an everyday fact of life. In 2013, two particular shooting incidents in Chicago received national attention: first, the murder of Hadiya Pendleton, a 15 year old high school honor student who performed at President Barack Obama's second inauguration one week before she was shot and killed while standing with friends in a park; and the second was on March 11, 2013 when 6-month-old Jonaylah Watkins was killed by gunfire allegedly intended for her father. As of this writing, twenty-one weeks into 2014, there have been 613 shooting incidents in the city that has resulted in at least 125 murders. The number of shootings is 14 more than the same period last year.

CPD has developed major initiatives designed to reduce gang- and gun-related crime in communities with historically high rates of violent crime. In addition to implementing such innovative gang suppression strategies as the current, multifaceted Gang Violence Reduction Strategy (which focuses suppression strategies on “hot” spots and people), CPD is working to reinvigorate its 20-year old community policing model which has engaged with residents, community organizations, businesses, schools and other agencies in active partnerships to identify and address chronic crime and disorder problems. For instance, to increase and improve police interactions with residents in violence-prone locations, CPD is: training all officers in police legitimacy and procedural justice; revising call-for-service policy to allow more time for proactive policing; reassigning officers from administrative to District patrol positions to improve community policing capacity; and assigning officers to walk beats in high-crime communities. This combination of comprehensive suppression and community engagement activities is designed to reduce gun violence in the long-term. CPD has also implemented innovative community policing programs, such as the Campaign to Break the Code of Silence and the Force for Good to strengthen community partnerships and the capacity of community and faith-based organizations to directly and indirectly work with CPD to improve neighborhood and community safety. However, because the impact of scarce resources continue to significantly affect CPD's capacity to fully implement new public safety initiatives, CPD intends to use FY2014 COPS Hiring Program resources to improve District community policing capacity to address gun violence related to gangs, narcotics activity and gun trafficking. Specifically, CPD proposes using FY2014 CHP funding to deploy additional officers to community policing activities in 11 high-crime Districts, where they and other law enforcement personnel will: assess the specific local factors contributing to violence; develop and implement integrated enforcement strategies; recruit and convene community residents and stakeholders on a regular basis to develop and implement wide-ranging community strategies consistent with and in support of law enforcement approaches; collect data and analyze the effectiveness and efficiency of these strategies; and establish mechanisms for both internal and external dissemination of the impact of those strategies.

7b) Will the problem described above be addressed with an explicit place-based strategy (e.g. hot-spot policing) that targets specific addresses or locations with a disproportionate share of crime or disorder?

- ☒ Yes
☐ No

7c) Which of the following activities will your agency and officers hired under this grant (or an equivalent number of redeployed veteran officers) engage in as it pertains to your identified crime hot spot? (please check all that apply):

- ☒ Enhance enforcement efforts (sweeps or increased patrol)
☒ Targeted community alerts
☒ Prevention efforts directed at high-risk victims
☒ Prevention efforts directed at high-risk offenders
☒ On-going identification of crime concentrations by qualified analysts
☒ Formal evaluations of the effectiveness of interventions (e.g. using pre/post-test and/or comparison groups)
☐ None of the above

8) Which of the following information sources did you use to prioritize this problem as a problem to address through this grant program (please check all that apply):

- ☒ Police department data (e.g. police reports, calls for service, crime data, citizen complaints)
☒ Agency personnel (e.g. officer feedback, command staff priorities)
☒ Other local non law enforcement government agency data
☒ Community based organizations (e.g. faith based, non-profits, social service providers)
☒ Local businesses
☒ Individual community members/community meetings
☒ Community survey
☒ Local government officials
☒ The media
☐ None of the above

9) If awarded funds, my agency will improve our understanding of this problem by examining (please check all that apply):

- ☒ Routinely collected law enforcement data/information related to the problem (e.g. arrest, incident reports, calls for service)
☒ The location and/or time aspects of the problem(e.g. mapping)
☒ The conditions and environmental factors related to the problem
☒ The strengths and limitations of current responses to the problem
☒ Non-law enforcement data/information related to the problem (e.g. insurance crash data, other government agency data, census data, survey data)
☒ Existing research and best practices related to the problem
☒ Data/information from the community related to the problem (e.g. resident associations, business groups, non-profit community service organizations)

- ☒ Information about offenders contributing to the problem (e.g. offender interview, arrest records)
- ☒ Information about victims affected by the problem(e.g. crime reports, victim interviews)
- ☒ Strengths and weaknesses of previous responses to the problem
- ☐ None of the above

10) If awarded funds my agency will use the following information sources to assess our response to this problem to determine whether the response was implemented and achieved the desired outcomes(please check all that apply):

- ☒ Routinely collected law enforcement data/information related to the problem (e.g. arrest, incident reports, calls for service)
- ☒ Data/information regarding whether the response was implemented as planned
- ☒ Police data collected for this specific problem (e.g. problem-specific surveys, field interview contact cards, etc.)
- ☒ Non-police data/information related to the problem(e.g. insurance crash data, other government agency data, census data, survey data)
- ☒ Data/information from the community related to the problem (e.g. resident associations, business groups, non-profit community service organizations)
- ☒ Information about offenders contributing to the problem (e.g. offender interview, arrest records, probation/parole data)
- ☒ Information about victims and/or stake holders affected by the problem(e.g. crime repots, victim interviews)
- ☐ None of the above

11) To the best of your ability at this time, please select from the below list what your **primary goals** are in responding to your selected problem (please select up to 3):

- ☐ Eliminating the problem
- ☒ Reducing the number of incidents
- ☐ Increasing public trust in your agency
- ☐ Reducing the seriousness of the incidents or the amount of harm
- ☐ Reducing the number of victims and /or repeat victims
- ☒ Reducing the number of offenders and/or repeat offenders
- ☐ Moving the problem to another area
- ☐ Getting other agencies and/or stake holders to assume responsibility for the problem
- ☒ Improving the response to the problem(i.e., more comprehensive and coordinated way of dealing with the problem, providing better services to victims, or greater efficiency in dealing with the problem)
- ☐ Improving citizen perceptions of the problem
- ☐ Increasing the number of arrests/citations
- ☐ Reducing the number of calls for service
- ☐ None of the above

12) An important part of a comprehensive community policing strategy is the formation of partnerships, such as working with other public agencies, private organizations, or participation in regional law enforcement partnerships. If awarded funds, will your agency initiate or enhance a partnership with an external group/organization to develop responses to this problem?

- ☒ Yes
- ☐ No

12a) If awarded funds, how many external groups/organizations will your agency initiate or enhance a partnership with to develop responses to this problem

3

12b) Name the most important external groups/organizations that your agency will initiate or enhance a partnership with to develop responses to this problem (maximum of three partners). Note: you may attach optional letters of this support from any or all of these prospective partners in Section 13 of the application. You will be limited to listing no more than three partners per public safety problem.

Partner Number	Partner Name	12c/12d	Action
12c) For this partner, please indicate the statement that best characterizes this partner:			
1	Chicago Public Schools	<input type="radio"/> Local government agencies (non-law enforcement, e.g. probation/parole, parks and recreation, code enforcement, etc.)	Edit Cancel Delete
		<input type="radio"/> Community based organizations (e.g. faith based, community redevelopment groups, social service providers, resident associations)	
		<input type="radio"/> Businesses operating in the community	

- ☐ Tribal law enforcement agencies
- ☐ Federal, state, or local law enforcement agencies (non-tribal) including through multi-jurisdictional/regional partnerships
- ☒ Local educational institutions (schools/colleges/universities)
- ☐ Individual stake holders (persons residing, working, or with an interest in the community or problem)

12d) For your Federal, state, local, or Tribal law enforcement agency partner, please identify what steps you and your partner have taken or plan to take to formalize your commitment to addressing this problem (please check all that apply):

- ☐ Formalized MOU/MOA signed by both partners which governs partnership activities, roles, and responsibilities
- ☐ Established shared ownership and responsibility
- ☐ Co-committed resources (financial, staffing etc.)
- ☐ Established processes and/or systems to share relevant data
- ☐ Conduct routine meetings at the operational or strategic level to plan and implement responses
- ☐ Conduct joint training and planning exercises
- ☐ None of the above

12c) For this partner, please indicate the statement that best characterizes this partner:

- ☐ Local government agencies (non-law enforcement, e.g. probation/parole, parks and recreation, code enforcement, etc.)
- ☒ Community based organizations (e.g. faith based, community redevelopment groups, social service providers, resident associations)
- ☐ Businesses operating in the community
- ☐ Tribal law enforcement agencies
- ☐ Federal, state, or local law enforcement agencies (non-tribal) including through multi-jurisdictional/regional partnerships
- ☐ Local educational institutions (schools/colleges/universities)
- ☐ Individual stake holders (persons residing, working, or with an interest in the community or problem)

2

70 com & faith-based org

12d) For your Federal, state, local, or Tribal law enforcement agency partner, please identify what steps you and your partner have taken or plan to take to formalize your commitment to addressing this problem (please check all that apply): Edit Cancel Delete

- ☐ Formalized MOU/MOA signed by both partners which governs partnership activities, roles, and responsibilities
- ☐ Established shared ownership and responsibility
- ☐ Co-committed resources (financial, staffing etc.)
- ☐ Established processes and/or systems to share relevant data
- ☐ Conduct routine meetings at the operational or strategic level to plan and implement responses
- ☐ Conduct joint training and planning exercises
- ☐ None of the above

12c) For this partner, please indicate the statement that best characterizes this partner:

- ☒ Local government agencies (non-law enforcement, e.g. probation/parole, parks and recreation, code enforcement, etc.)
- ☐ Community based organizations (e.g. faith based, community redevelopment groups, social service providers, resident associations)
- ☐ Businesses operating in the community
- ☐ Tribal law enforcement agencies
- ☐ Federal, state, or local law enforcement agencies (non-tribal) including through multi-jurisdictional/regional partnerships
- ☐ Local educational institutions (schools/colleges/universities)
- ☐ Individual stake holders (persons residing, working, or with an interest in the community or problem)

3

Chicago Depts of Family

Edit Cancel Delete

12d) For your Federal, state, local, or Tribal law enforcement agency partner, please identify what steps you and your partner have taken or plan to take to formalize your commitment to addressing this problem (please check all that apply):

- ☐ Formalized MOU/MOA signed by both partners which governs partnership activities, roles, and responsibilities

- ☐ Established shared ownership and responsibility
- ☐ Co-committed resources (financial, staffing etc.)
- ☐ Established processes and/or systems to share relevant data
- ☐ Conduct routine meetings at the operational or strategic level to plan and implement responses
- ☐ Conduct joint training and planning exercises
- ☐ None of the above

II(b) Proposed Community Policing Strategy: Organizational Transformation

COPS grants must be used to initiate or enhance community policing activities. In this section you will be asked to identify the organizational change(s) that your agency plans to focus on through your requested COPS funding. Identifying the specific organizational change(s) that your agency plans to focus on is important to ensure that you satisfy the requirements for COPS funding under this program, and to ensure that ultimately the use of these funds will initiate or enhance your agency's capacity to implement community policing approaches.

13) If awarded funds, will your agency initiate or enhance any of the following internal changes to personnel management? (Select no more than 2 internal changes to personnel management that will be addressed with these grant funds.)

- ☐ Flexibility in officer shift assignments to facilitate addressing specific problems
- ☐ Assignment of officers to specific neighborhoods or areas for longer periods of time to enhance customer service and facilitate more contact between police and citizens
- ☐ Recruitment and hiring practices that reflect an orientation towards problem solving and community engagement
- ☒ In-service training for officers on basic and advanced community policing principles

Please provide a narrative for each internal change to personnel management identified (2,000 characters or less)

The Chicago Police Department has actively implemented, refined and engaged in a comprehensive community policing model since 1993. This model is built on maintaining active partnerships between police, community residents, community- and faith-based organizations, businesses and schools, and other City Departments and sister agencies to identify and address chronic crime and disorder problems. While this model has been successful in preventing and reducing crime, the emphasis on policing in the highest crime neighborhoods has shifted over time to more traditional, targeted methods. This shift has led to some erosion of police officers' understanding of the vital role community based crime prevention strategies contribute to achieve long-term, sustainable reductions in crime. Traditional enforcement without the underpinning of community driven strategies can exacerbate those problems. The additional personnel resources funded under the FY14 COPS Hiring Program will support CPD's assignment of additional officers to targeted Police Districts where the majority of violence occurs. Under Operation Impact, aside from their patrol duties, CPD personnel will be assigned to participate in on-going community policing in-service training. This training will not only reinforce the basic problem-solving aspects of the Department's community policing model, but it will assist officers in understanding and developing more sophisticated approaches to problem-solving and community partnerships that are needed to address the complicated and interconnected conditions that contribute to violence in the communities served by these Districts.

- ☐ Field training officer (FTO) programs that teach and test problem solving, community engagement, and critical thinking skills
- ☒ Further define and clarify community policing roles and expectations for officers

Please provide a narrative for each internal change to personnel management identified (2,000 characters or less)

CPD currently deploys 229 school officers (150 in 78 high schools, 56 mobile, 22 District Liaison Sgts and 1 Sgt who coordinates all CPD school personnel). At the District level, responsibility for implementing CAPS had become increasingly viewed as the responsibility of a small and declining number of officers. However, CPD has reversed this trend in the past two years by reinforcing the expectation that the community policing nature of crime prevention is a responsibility of all members of CPD, particularly beat officers and front-line supervisors. CPD recognizes that our problem-solving strategies to achieve long-term, sustainable reductions in violence need to be more complex, sophisticated and involving a wider range of law enforcement and community partners on a consistent basis. The additional officers provided by this funding will allow CPD to assign officers specifically tasked to assist in ensuring that: specific long-term strategic interventions are designed to address violence in the target Districts; specific responsibilities are assigned to other specialized units (e.g. Gang Enforcement, Vice/Asset Forfeiture, Detectives); and that follow-up activity is conducted and documented. These positions will also: assist Districts identify and recruit community-based partners to participate in strategic interventions and facilitate the dissemination of information on these strategies and results to all District personnel; and assist in maintaining direct liaison with City, State and County Departments that can provide infrastructure and human infrastructure resources to underpin more traditional enforcement strategies. These positions will be a critical asset to District command staff working to integrate the strategic interventions into broader District plans, thereby ensuring that routine law enforcement missions are in support of the District's community policing strategies and interventions and that the impact of the interventions is properly analyzed.

- ☐ Personnel evaluation systems that assess officer activities, accomplishments, and performance related to problem solving and community engagement
- ☐ Early intervention systems that help identify officers who may be showing early signs of stress, personal problems, and questionable work conduct
- ☐ First-line supervisory skills to support officer problem solving and community engagement activities
- ☐ Career development and/or promotional processes that reinforce problem solving and community engagement
- ☐ None of the Above

14) If awarded funds, will your agency initiate or enhance any of the following internal changes to agency management? (Select up to 2 internal changes to agency management that will be addressed with these grant funds.)

- ☐ Agency mission statement, vision, and/or goals that reflect the core values of community policing
- ☐ Agency strategic plan that outlines the goals and objectives around community policing and other departmental priorities
- ☒ Organizational performance measurement systems that include community policing metrics, and conduct annual assessments of agency performance

Please provide a narrative for each internal change to agency management identified (2,000 characters or less)

The Chicago Police Department, as part of the City's larger self evaluation efforts, has established a wide range of performance measures and routinely collects data to determine the effectiveness and efficiency of its public safety performance. The Department has also instituted a rigorous CompStat process for continuously reviewing violence reduction and other crime control strategies. Weekly CompStat meetings are designed to hold District Commanders responsible for implementing strategies to reduce crime, particularly murders, shootings and armed robberies. As that process has become systematized in the Department, community policing metrics are being incorporated along with more traditional enforcement related measures, particularly beat integrity. It is anticipated that these metrics will include both formal meeting and engagement activities, as well as informal community-based problem solving activities as has been the case in previous years. As part of this initiative, the Department will continue to develop tools that collect city-wide and District level data on more sophisticated performance measures that integrate both enforcement and community activity related to preventing and reducing violence in the targeted Districts. At a District level, these measures will be assessed on an ongoing basis as part of the CompStat process and used as a tool to continually refine and enhance strategies being implemented. These measures will also be reviewed to determine their efficacy in assessing other community policing activities in Districts beyond the target Districts, as well as ensuring that organizational policies and procedures best support the effective implementation of the Department's community policing model.

- ☐ Police officer ethical conduct initiative (e.g. procedural justice, values-based policing, etc.)
- ☐ Technology systems that provide officers, analysts, and the community better and more timely access to data and information
- ☐ Mediation strategies to resolve citizen complaints
- ☐ Collection, analysis, and use of crime data and information in support of problem solving goals
- ☐ Formal accreditation process
- ☐ System to capture and track problem solving and partnership efforts and activities
- ☐ An organizational assessment of community policing
- ☒ Level and frequency of communication with the community on crime problems and agency activities to enhance transparency

Please provide a narrative for each internal change to agency management identified (2,000 characters or less)

A critical element of any successful community policing strategy is the consistent exchange of accurate and timely information with community residents and other stakeholders. The Department has employed multiple means of communicating broad, organizational level information, including regular beat level meetings and topical meetings, to share more localized information. However in many instances that information sharing had become generic and had not been tied to specifically identified problems and strategies. Information-sharing over time has also moved away from assisting residents in understanding police procedures and reasonable timelines for completion of missions and other activities. The Department has begun to address these issues and has refocused on maintaining effective local level communication and expanding the use of technology, including webinar technology and social media to enhance the ability to communicate with a wider range of stakeholders. This will include a focus in several districts with higher rates of crime and violence to help overcome the fear of being perceived to be cooperating with the police. The additional community policing positions made possible by the FY2014 COPS Hiring Program will be responsible for establishing and monitoring mechanisms ensuring that the timely dissemination of appropriate law enforcement information is tied to specific strategies that have been developed to prevent and reduce community violence, and that it incorporates information on community-based activities. This type of comprehensive reporting will not only ensure accountability and transparency but it will also empower, through a more open sharing of information about "what works", a wider range of residents and stakeholders to actually participate in future efforts.

- ☐ None of the Above

III. General Community Support and Engagement

15) Did your agency consult with any of the following groups/organizations on the development of this community policing strategy? Please check all that apply.

- ☒ Local government agencies (non-law enforcement, e.g. probation/parole, parks and recreation, code enforcement, etc.)
- ☒ Community based organizations (e.g. faith based, community redevelopment groups, social service providers, resident associations)
- ☒ Businesses operating in the community
- ☐ Tribal law enforcement agencies (outside your jurisdiction)
- ☒ Other Federal, state, or local law enforcement agencies
- ☒ Multi-jurisdictional or regional task forces/partnerships
- ☒ Local educational institutions (schools/colleges/universities)

- ☒ Local government officials
- ☒ Individual stakeholders residing, working or with an interest in the community and/or problem
- ☐ None of the above

16) To what extent are there related governmental and/or community initiatives that complement your agency's proposed community policing strategy?

- ☒ a) There are a significant number of related initiatives
- ☐ b) There are a moderate number of related initiatives
- ☐ c) There are a minimal number of related initiatives
- ☐ d) There are no related initiatives

17) To what extent is there community support in your jurisdiction for implementing the proposed community policing strategy?

- ☒ a) High level of support
- ☐ b) Moderate level of support
- ☐ c) Minimum level of support

18) If awarded funds, to what extent will the community policing strategy impact the other components of the criminal justice system in your jurisdiction?

- ☒ a) Potentially decreased burden
- ☐ b) No change in burden
- ☐ c) Potentially increased burden

Section 7: NEED FOR FEDERAL ASSISTANCE

A. Explanation of Need for Federal Assistance

All applicants are required to explain their inability to address the need for this award without federal assistance. Please do so in the space below. [Please limit your response to a maximum of 4,000 characters.]

The persistent impact of the national recession upon the local economy continues to place budget pressures on the City of Chicago's financial position. Even when the current administration has made serious efforts to reduce costs and achieve operational efficiencies, the magnitude of the City's obligations has reduced the effect of meaningful financial improvements. These financial challenges, coupled with unrelenting public safety demands, has further reduced the opportunities for CPD to mitigate attritional losses in its sworn headcount, while eliminating any hope in the foreseeable future of increasing the number of officers to previous levels. The current economic and financial conditions have reduced City revenues to the point that maintaining previous budget levels has become difficult, and obtaining funding even for critical initiatives has become extremely challenging. In 2013, gun violence in Chicago showed a 24 percent drop in overall shootings and a 16 percent reduction in overall crime, a testament to CPD's ever evolving crime reduction strategy. Still, publicly available crime data shows that Chicago experienced more than 1,800 shooting incidents in 2013, resulting in 361 of the 414 recorded murders. Even with the reductions, firearms-related crime remains unacceptably high. Through the 21st week of 2014, there have been 613 shooting incidents recorded in Chicago, representing a two percent increase compared to the same period last year, which has resulted in at least 125 murders. At the same time, despite fairly aggressive police hiring over the last two years, made possible in part by Chicago's previous COPS Hiring Program grants, the Department is still facing the challenge of reducing the number of unfilled sworn positions. Also, the anticipated retirements in 2014 is expected to reduce the number of filled sworn positions further. The high number of unfilled sworn positions and the amount of firearms-related violent crime will pose a significant public safety concern for Chicago citizens, the local economy, and for the millions of tourists and visitors coming to Chicago each year. Additionally, because of its unique position in the homeland and in the world stage, we are concerned about the nexus between community policing and homeland security. Already, the threat of terrorism looms large over Chicago. The City of Chicago is the hometown of the President of the United States and Chicago has been explicitly mentioned as a target in one of the most recent publications with links to terrorism. Also, many aspects of the Chicago infrastructure, including the downtown business district, have been rated by DHS as extremely high risk-high consequence terrorist targets. We believe that adequate police staffing levels and active community policing can produce police-community relationships and effective problem-solving tools that can be instrumental in preventing, responding to or recovering from terrorist attacks. Simply put, the City's budget does not contain funding in the current or projected subsequent fiscal year to return CPD's sworn headcount to the level that is universally accepted as necessary and appropriate to protecting and serving the public in the City of Chicago.

B. Service Population

1. Enter the total population of the government entity applying for this grant using the latest census estimate available in the American Fact Finder at <http://FactFinder2.census.gov>.

2695598

☐ 2. Check here if the population of the entity applying for this grant is not represented by U.S. Census figures (e.g., colleges, special agencies, school police departments, etc.).

3. What is the actual population your department serves as the primary law enforcement entity? 2695598

This may or may not be the same as the population specified above. For example, a service population may be the census population minus incorporated towns and cities that have their own police department within your geographic boundaries or estimates of ridership (e.g., transit police) or visitors (e.g., park police). An agency with primary law enforcement authority is defined as having first responder responsibility to calls for service for all types of criminal incidents within its jurisdiction.

3a. If applicable, please explain why the service population differs from the census population:

C. Fiscal Health

1) Enter your law enforcement agency's total operating budget for the current AND previous two fiscal years. *Please note: All figures must be rounded to the nearest whole dollar.*

CURRENT FISCAL YEAR (2014) \$

PREVIOUS FISCAL YEAR (2013) \$

PREVIOUS FISCAL YEAR (2012) \$

2) Enter the total jurisdictional (city, county, state, tribal, university) locally-generated revenues for the current AND previous two fiscal years. Locally-generated revenues may include locally generated property taxes, sales taxes, and other taxes and revenue sources (e.g., transportation taxes, transient lodging taxes, licensing fees, other non-property taxes, and franchise taxes). For example, college/university police departments would include tuition and fees, park police may include entrance and parking fees, etc. Please note: All figures must be rounded to the nearest whole dollar.

CURRENT FISCAL YEAR (2014) \$

PREVIOUS FISCAL YEAR (2013) \$

PREVIOUS FISCAL YEAR (2012) \$

3) Since January 1, 2013, what percentages of the following employees in your jurisdiction (city, county, state, tribal, university) have been reduced through lay-offs. Please note: All figures must be rounded to the nearest whole percent.

Civilian Law Enforcement Agency Personnel %

Sworn Law Enforcement Agency Personnel %

Other Government Agency Personnel %

4) Since January 1, 2013, what percentages of the following employees in your jurisdiction (city, county, state, tribal, university) have been reduced through furloughs that have lasted or are scheduled to last a minimum of forty hours per affected employee over the course of a fiscal year. Please note: All figures must be rounded to the nearest whole percent.

Civilian Law Enforcement Agency Personnel %

Sworn Law Enforcement Agency Personnel %

Other Government Agency Personnel %

5) Since January 1, 2013, what percentages of the following authorized positions in your jurisdiction (city, county, state, tribal, university) are currently unfilled due to official policies and/or decisions that limit your jurisdiction's ability to fill vacancies (i.e., hiring freezes). For example, if your agency has ten authorized sworn positions and one is currently frozen, you would enter 10% on the sworn personnel line. Please note: All figures must be rounded to the nearest whole percent.

Civilian Law Enforcement Agency Personnel %

Sworn Law Enforcement Agency Personnel %

Other Government Agency Personnel %

6) The U.S. Census Bureau American Community Survey (ACS) provides multi-year poverty rate estimates for communities. Please go to the U.S. Census Bureau's American Fact Finder (<http://FactFinder2.census.gov>) to determine the percentage of individuals in poverty in your jurisdiction. For jurisdictions not included in the census (e.g., schools, universities, transit, parks), please check

the box for "Not Applicable." Please see the program Application Guide for additional information and help in using the American Fact Finder. Please note: All figures must be rounded to the nearest whole percent.

Percentage of individuals in poverty %

22

☐ Not Applicable:

7) The Bureau of Labor Statistics' Local Area Unemployment Statistics (LAUS) program provides monthly estimates of unemployment for communities. Please go to the Bureau of Labor Statistics' LAUS website (<http://www.bls.gov/lau/data.htm>) to find detailed instructions for looking up your local area's unemployment rate. It may be necessary to select the nearest best match to your jurisdiction (for example, a city of fewer than 25,000 people may report their county level rate). Please see the program Application Guide for additional information and help in using the LAUS data. For jurisdictions not included in the census (e.g., schools, universities, transit, parks), please check the box for "Not Applicable". Please note: All figures must be rounded to the nearest whole percent.

Percentage Unemployed for December 2013

9

☐ Not Applicable:

8) Indicate if your jurisdiction has experienced any of the following events since January 1, 2013 (Check all that apply)

- ☐ A declaration of natural or other major disaster or emergency has been made pursuant to the Robert T. Stafford Disaster Relief and Emergency Assistance Act. (42 U.S.C. 5121 et seq.)
- ☐ A declaration as an economically or financially distressed area by the state in which the applicant is located.
- ☒ Downgrading of the applicant's bond rating by a major rating agency.
- ☐ Has filed for or been declared bankrupt by a court of law.
- ☐ Has been placed in receivership or its functional equivalent by the state or federal government.
- ☐ Taken on additional law enforcement duties and responsibilities resulting from an agency merger or the disbanding of a neighboring law enforcement agency (which did not result in a new or supplemented funded contract to provide these law enforcement services).

9) In addition to the data collected elsewhere in this application, the COPS Office would like to capture information from jurisdictions that may have faced an unanticipated catastrophic event that had a significant impact on the delivery of law enforcement services or have experienced an unusually large increase in the number of homicides in the past year. Examples of unanticipated catastrophic events include mass shootings, terrorist attacks, natural disasters, or other events leading to mass casualties that would not necessarily be reflected in the UCR crime statistics previously reported.

Please note that if your jurisdiction is faced with an unanticipated catastrophic event (e.g., mass shooting, terrorist attack, other mass casualty event) after submission of this application, but before the application closing date, you should contact the COPS Office immediately at 800-421-6770 to update your application to include this information.

- ☐ If your agency experienced has an unanticipated catastrophic event or an unusually large increase in the number of homicides in the time period from January 1, 2013 to present, check this box.

10) The Promise Zone Initiative is part of the President's plan to create a better bargain for the middle-class by partnering with local communities and businesses to create jobs, increase economic security, expand educational opportunities, increase access to quality, affordable housing and improve public safety. In exchange, these designees will receive the resources and flexibility they need to achieve their goals. The designees have agreed to and must demonstrate a collaborative effort- between private business and federal, state, tribal and local officials; faith-based and non-profit organizations; children and parents- to ensure that hard work leads to a decent living for every American, in every community.

To be a Promise Zone, your jurisdiction must have been designated as such by the closing date of this solicitation.

- ☐ If your agency has a designated Promise Zone within its jurisdiction, check the box.

D. Property/Violent Crime

Please select at least one statement below:

- ☒ My agency can report crime data for all 3 years (please input in table below:).
- ☐ My agency cannot report crime data for 2013.
- ☐ My agency cannot report crime data for 2012.
- ☐ My agency cannot report crime data for 2011.

1) Using UCR crime definitions, enter the actual number of incidents reported to your agency in the previous three calendar years (2013, 2012, 2011) for the following crime types. Note that only those incidents for which your agency had primary response authority should be provided. Please enter 0 (zero) to indicate no incidents in a particular year/type. Leave blanks only where data is unavailable.

UCR Data

2013

2012

2011

Criminal Homicide

414
503
435
Forcible Rape
1423
1519
1549
Robbery
11830
13477
14097
Aggravated Assault
6521
7810
8188
Burglary
17923
22874
26802
Larceny (except motor vehicle theft)
15456
15892
15665
Motor Vehicle Theft
12670
16494
19438

Section 8: CONTINUATION OF PROJECT AFTER FEDERAL FUNDING ENDS

If you are applying for a COPS grant with a post-grant retention plan requirement, please complete A. If you are applying for a COPS grant without a post-grant retention plan requirement, please complete B.

A. Continuation of Project after Federal Funding Ends (for COPS grants with a retention plan requirement)

Applicants must plan to retain all sworn officer positions awarded under your COPS hiring grant for a minimum of 12 months at the conclusion of 36 months of federal funding for each position. The retained COPS funded positions should be added to your agency's law enforcement budget with state and/or local funds at the end of grant funding, over and above the number of locally-funded sworn officer positions that would have existed in the absence of the grant. These additional position(s) must be retained using state, local, or other non-federal funding only. You may not use funds awarded by other federal grants to cover the costs of retention. At the time of grant application, applicants must affirm that they plan to retain the positions and identify the planned source(s) of retention funding. We understand that your agency's source(s) of retention funding may change during the life of the grant. Your agency should maintain proper documentation of any changes in the event of an audit, monitoring or other evaluation of your grant compliance. Please refer to the frequently asked questions on retention which can be found here <http://www.cops.usdoj.gov/Default.asp?Item=2367>.

1. Will your agency plan to retain any additional positions awarded under this grant for a minimum of 12 months at the conclusion of federal funding for each position?

- ☒ Yes
☐ No

Note: Agencies that do not plan to retain all the positions awarded under this grant are ineligible to receive CHP funding

2. Please identify the source(s) of funding that your agency plans to utilize to cover the costs of retention: (check all that apply)

- ☒ General funds
☐ Raise bond/tax issue
☐ Private sources/donations
☐ Non-federal asset forfeiture funds (subject to approval from the state or local oversight agency)

- ☐ Fundraising efforts
- ☐ State, local, or other non-federal grant funding
- ☐ Other

If other, please provide a brief description of the source(s) of funding not to exceed 500 characters.

Section 12: OFFICIAL PARTNER(S) CONTACT INFORMATION

An official "partner" under the grant may be a governmental, private, school district, or other applicable entity that has established a legal, contractual, or other agreement with the applicant for the purpose of supporting and working together for mutual benefits of the grant. Please see the COPS Application Guide for more information on official partners that may be required.

First Name Last Name Agency Name Action

Title:

First Name:

Middle Name:

Last Name:

Suffix:

Name of Partner Agency (e.g., Smithville High School):

Type of Partner Agency (e.g., School District):

Street1:

Street2:

City:

State:

Zip/ Postal Code:

Telephone Number:

Fax:

Email:

Important! Please click "Add Partner" to Save before moving to the next section.

Section 13: APPLICATION ATTACHMENTS

This section should be used to attach any required or applicable attachments to your grant applications (e.g., Memorandum of Understanding, etc.)

If the program for which you are applying requires a Memorandum of Understanding (MOU), this document should define the roles and responsibilities of the individuals and partner(s) involved in your proposed project. Please refer to the program-specific Application Guide to determine if an MOU or other application attachments are required. The Guide will also specify if optional attachments are permitted for submission.

Current Attachments

File Name

[Form COPS_Sh_ApplicationAttachment_2_1-V2.1.pdf](#) SF-424 [Delete](#)

[Form SF424_2_1-V2.1.pdf](#) SF-424 [Delete](#)

[SF424_2_1-1234-Chicago congressional districts.pdf](#) SF-424 [Delete](#)

Section 14: Budget Detail Worksheets

Instructions for Completing the Budget Detail Worksheets

The following Budget Detail Worksheets are designed to allow all COPS grant and cooperative agreement applicants to use the same budget forms to request funding. Allowable and unallowable costs vary widely and depend upon the type of COPS program. The maximum federal funds that can be requested and the federal/local share breakdown requirements also vary.

Please refer to the program-specific Application Guide to determine the allowable/unallowable costs, the maximum amount of federal funds that can be requested, and the federal/local share requirements for the COPS program for which your agency is applying (See <http://www.cops.usdoj.gov/Default.asp?Item=46>). To assist you, sample Budget Detail Worksheets are included in each Application Guide

Please complete each section of the Budget Detail Worksheets applicable to the program for which you are applying (See <http://www.cops.usdoj.gov/Default.asp?Item=46> for requirements). If you are not requesting anything under a particular budget category, please check the appropriate box in that category indicating that no positions or items are requested.

Final calculations will be rounded to the nearest whole dollar. Once the budget for your proposal has been completed, a budget summary page will reflect the total amounts requested in each category, the total project costs, and the total federal and local shares.

If you need assistance in completing the Budget Detail Worksheets, please call the COPS Office Response Center at 800.421.6770.

Section 14A: Budget Detail Worksheets

Instructions: This worksheet will assist your agency in reporting your agency's current entry-level salary and benefits and identifying the total salary and benefits request per officer position for the length of the grant term. Please list the current entry-level base salary and fringe benefits rounded to the nearest whole dollar for one full-time sworn officer position within your agency. Do not include employee contributions. (Please refer to <http://www.cops.usdoj.gov/Default.asp?Item=46> for information on the length of the grant term for the program under which you are applying.)

Special note regarding sworn officer fringe benefits: For agencies that do not include fringe benefits as part of the base salary costs and typically calculate these separately, the allowable expenditures may be included under Part 1, Section B. Any fringe benefits that are already included as part of the agency's base salary (Part 1, Section A of the Sworn Officer Budget Worksheet) should not also be included in the separate fringe listing (Part 1, Section B). Please refer to <http://www.cops.usdoj.gov/Default.asp?Item=46> for information about allowable and unallowable fringe benefits for sworn officer positions requested under the program to which your agency is applying.

A. SWORN OFFICER POSITIONS

Title

Description

Number of Positions

Edit

Delete

Full-Time Entry-Level Sworn Officer Base Salary Information

Part 1: Instructions: Please Complete the questions below based on your agency's entry-level salary and benefits package for one locally-funded officer position. *As applicable per the program-specific Application Guide, you may also be required to project Year 2 and Year 3 salaries.*

Sworn Officer Position

A. Base Salary Information

Year 1 Salary

Enter the first year entry-level base salary for one sworn officer position.

43104.00

☐ Please check this box if base salary includes vacation costs.
☐ Please check this box if the base salary includes sick leave costs.

Year 2 Salary

Enter the second year entry-level base salary for one sworn officer position.

69018.00

☐ Please check this box if base salary includes vacation costs.
☐ Please check this box if the base salary includes sick leave costs.

Year 3 Salary

Enter the third year entry-level base salary for one sworn officer position.

73968.00

☐ Please check this box if base salary includes vacation costs.
☐ Please check this box if the base salary includes sick leave costs.

B. Fringe Benefit costs should be calculated for each year of the grant term.

FRINGE BENEFITS:

Year 1 Fringe Benefits

COST BASE% OF SALARY

Social security expenses ☒ Exempt ☐ 6.2% ☐ Fixed Rate cannot exceed 6.2%

0 0

Medicare expenses cannot ☐ Exempt ☐ 1.45% ☒ Fixed Rate exceed 1.45%

625.00 1.45

Health Insurance (Family Coverage)

15456.00 35.86

Life Insurance

0 0.00

Vacation Number of Hours Annually: 0

0 0.00

Sick Leave Number of Hours Annually: 0

0 0.00

Retirement

0 0.00

Year 2 Fringe Benefits

COST BASE% OF SALARY

0 0

945.00 1.37

15920.00 23.07

0 0.00

0 0.00

0 0.00

0 0.00

Year 3 Fringe Benefits

COST BASE% OF SALARY

0 0

1016.00 1.37

16397.00 22.17

0 0.00

0 0.00

0 0.00

0 0.00

Worker's Compensation	<input type="checkbox"/> Exempt	948.00	2.20	1434.00	2.08	1542.00	2.08
Unemployment Insurance	<input type="checkbox"/> Exempt	232.00	0.54	239.00	0.35	246.00	0.33
Other Retirement Pension		7759.00	18.00	11731.00	17.00	12613.00	17.05
Other Select One		0	0.00	0	0.00	0	0.00
Other Select One		0	0.00	0	0.00	0	0.00
Benefits Sub-Total Per Year (1 Position)		25020.00		30269.00		31814.00	
C. Total Salary + Benefits Per Year (1 Position)		68124		99287		105782	
D. Total Salary and Benefits for Years 1, 2, and 3 (1 Position):		273193.00		X 25	# of Positions	6829825.00	

SALARY DETAILS

Part 2 : Sworn Officer Salary Information

1. If your agency's second and/or third-year costs for salaries and/or fringe benefits increase after the first year, check the reasons(s) why in the space below. If these costs do not increase, please select "Not Applicable".

- ☐ Cost of Living Adjustment (COLA)
- ☐ Step Raises
- ☐ Change in Benefit Costs
- ☐ Not Applicable

Part 3: Federal/Local Share Costs (for Hiring Grants)

As part of the local matching requirement for the 2014 COPS Hiring Program, grantees must assume a progressively larger share of the cost of the grant with local funds over the three-year grant period. This means that your local match must increase each year, while the federal share must decrease.

Total Salary and Benefits for year 1,2, &3 (all positions)

Total Salary and Benefits for year 1, 2, & 3 (all positions): 6829825.00

Total Federal Share: 3125000.00

Total Federal Percentage: 45.755198714827976

Total local share required: 3704825.00

Total Local Percentage: 54.244801285172024

Please project in the chart below how your agency plans to assume a progressively larger share of the grant costs during each year of the program. The chart is only a projection of your plans; while your agency may deviate from these specific projections during the grant period, it must still ensure that the federal share decreases and the local share increases. For more details on local matching requirements for this program, please refer to <http://www.cops.usdoj.gov/Default.asp?Item=46>.

Federal Share Year 1 1033185.00

Federal Share Year 2 1070255.00

Federal Share Year 3 1021560.00

Federal Total 3125000.00

Local Share Year 1 669915.00

Local Share Year 2 1411920.00

Local Share Year 3 1622990.00

Local Total 3704825.00

6829825.00

S. BUDGET SUMMARY

Instructions: Please review the category totals and the total project costs below. If the category totals and project amounts shown are correct, please continue with the submission of your application. Should you need to make revisions to a budget category, please return to the Budget Detail Worksheet.

Section

Budget Category	Category Total	
A. Sworn Officer Positions	\$6829825.00	
B. Civilian / Non-Sworn Officer Positions	\$0.00	
C. Equipment & Technology	\$0.00	
D. Supplies	\$0.00	
E. Travel & Training	\$0.00	
F. Contracts & Consultants	\$0.00	
G. Other Costs	\$0.00	
H. Indirect Costs	\$0.00	
Total Project Amount:	\$6829825.00	
Total Federal Share Amount: (Total Project Amount X Federal Share Percentage Allowable)	\$3125000.00	45.755199%
Total Local Share Amount(If applicable): (Total Project Amount - Total Federal Share Amount)	\$3704825.00	54.244801%

If your application is funded, but for a reduced number of officer positions, the percentage of the local share provided above will be applied to the total project cost of the awarded officers.

Waiver of Local Match

The COPS Office may waive some or all of a grantee's local match requirement based on severe fiscal distress. During the application review process, your agency's waiver request will be evaluated based on the availability of funding, a demonstration of severe fiscal distress as reflected through the fiscal health data in Section 7 of this application, and a comparison of your fiscal health data with that of the overall applicant pool.

Q1: Are you requesting a waiver of the local match based on severe fiscal distress?

☒ Yes

Q1a: If awarded, please indicate the maximum local share your agency would be able to contribute to the total project cost in order to implement the grant.

0

Based on the waiver request above, your federal share would be \$6,829,825.00 and your local share would be \$0.00 if your application is fully funded.

We anticipate that waivers of the local match will be limited. The COPS Office will carefully review your request for a waiver when your application is submitted.

Q1b: If your agency does not qualify for a waiver, do you still wish to be considered for a CHP grant?

- ☒ Yes, please continue to review my agency's application even if we are not eligible for a waiver of the local match.
- ☐ No, my agency could not implement this grant without a waiver of the local match, so please do not continue processing our application if we are not eligible for the waiver.

Contact Information for Budget Questions

Please provide contact information of the financial official that the COPS Office may contact with questions related to your budget submission.

First Name:

Michele

Last Name:

James

Title:

Asst. Director, Finance

Telephone Number:

312-745-6071

Fax:

312-745-6925

Email Address:

michele.james@chicago

Section 15A: ASSURANCES

Several provisions of federal law and policy apply to all grant programs. The Office of Community Oriented Policing Services needs to secure your assurance that the applicant will comply with these provisions. If you would like further information about any of these assurances, please contact your state's COPS Grant Program Specialist at 800-421-6770.

By signing this form, the applicant assures that it will comply with all legal and administrative requirements that govern the applicant for acceptance and use of federal grant funds. In particular, the applicant assures us that:

1. It has been legally and officially authorized by the appropriate governing body (for example, mayor or city council) to apply for this grant and that the persons signing the application and these assurances on its behalf are authorized to do so and to act on its behalf with respect to any issues that may arise during processing of this application.
2. It will comply with the provisions of federal law, which limit certain political activities of grantee employees whose principal employment is in connection with an activity financed in whole or in part with this grant. These restrictions are set forth in 5 U.S.C. § 1501, et seq.
3. It will comply with the minimum wage and maximum hours provisions of the Federal Fair Labor Standards Act, if applicable.
4. It will establish safeguards, if it has not done so already, to prohibit employees from using their positions for a purpose that is, or gives the appearance of being, motivated by a desire for private gain for themselves or others, particularly those with whom they have family, business or other ties.
5. It will give the Department of Justice or the Comptroller General access to and the right to examine records and documents related to the grant.
6. It will comply with all requirements imposed by the Department of Justice as a condition or administrative requirement of the grant, including but not limited to: the requirements of 28 CFR Part 66 and 28 CFR Part 70 (governing administrative requirements for grants and cooperative agreements); 2 CFR Part 225 (OMB Circular A-87), 2 CFR 220 (OMB Circular A-21), 2 CFR Part 230 (OMB Circular A-122) and 48 CFR Part 31.000, et seq. (FAR 31) (governing cost principles); OMB Circular A-133 (governing audits) and other applicable OMB circulars; the applicable provisions of the Omnibus Crime Control and Safe Streets Act of 1968, as amended; 28 CFR Part 38.1; the applicable COPS Application Guidelines; the applicable COPS Grant Owner's Manuals; and with all other applicable program requirements, laws, orders, regulations, or circulars.
7. It will, to the extent practicable and consistent with applicable law, seek, recruit and hire qualified members of racial and ethnic minority groups and qualified women in order to further effective law enforcement by increasing their ranks within the sworn positions in the agency.
8. It will not (and will require any subgrantees, contractors, successors, transferees, and assignees not to), on the grounds of race, color, religion, national origin, sex, disability, or age, unlawfully exclude any person from participation in, deny the benefits of or employment to any person, or subject any person to discrimination in connection with any programs or activities funded in whole or in part with federal funds. These civil rights requirements are found in the non-discrimination provisions of Title VI of the Civil Rights Act of 1964, as amended (42 U.S.C. § 2000d); the Omnibus Crime Control and Safe Streets Act of 1968, as amended (42 U.S.C. § 3789d); Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. § 794); the Age Discrimination Act of 1975 (42 U.S.C. § 6101, et seq.); Title IX of the Education Amendments of 1972, as amended (20 U.S.C. 1681 et seq.); and the corresponding DOJ regulations implementing those statutes at 28 C.F.R. part 42 (subparts C, D, E, G, and I). It will also comply with Executive Order 13279 Equal Treatment for Faith-Based Organizations and its implementing regulations at 28 C.F.R Part 38, which requires equal treatment of religious organizations in the funding process and nondiscrimination of beneficiaries by Faith-Based Organizations on the basis of belief or non-belief."
- A. In the event that any court or administrative agency makes a finding of discrimination on grounds of race, color, religion, national origin, gender, disability or age against the applicant after a due process hearing, it agrees to forward a copy of the finding to the Office for Civil Rights, Office of Justice Programs, 810 7th Street, NW, Washington, D.C. 20531.
- B. If your organization has received an award for \$500,000 or more and has 50 or more employees, then it has to prepare an Equal Employment Opportunity Plan (EEOP) and submit it to the Office for Civil Rights ("OCR"), Office of Justice Programs, 810 7th Street, N.W., Washington, DC 20531, for review within 60 days of the notification of the award. If your organization received an award between \$25,000 and \$500,000 and has 50 or more employees, your organization still has to prepare an EEOP, but it does not have to submit the EEOP to OCR for review. Instead, your organization has to maintain the EEOP on file and make it available for review on request. In addition, your organization has to complete Section B of the Certification Form and return it to OCR. If your organization received an award for less than \$25,000; or if your organization has less than 50 employees, regardless of the amount of the award; or if your organization is a medical institution, educational institution, nonprofit organization or Indian tribe, then your organization is exempt from the EEOP requirement. However, your organization must complete Section A of the Certification Form and return it to OCR.
9. Pursuant to Department of Justice guidelines (June 18, 2002 Federal Register (Volume 67, Number 117, pages 41455-41472)), under Title VI of the Civil Rights Act of 1964, it will ensure meaningful access to its programs and activities by persons with limited English proficiency.
10. It will ensure that any facilities under its ownership, lease or supervision which shall be utilized in the accomplishment of the project are not listed on the Environmental Protection Agency' (EPA) list of Violating Facilities and that it will notify us if advised by the EPA that a facility to be used in this grant is under consideration for such listing by the EPA..
11. If the applicant's state has established a review and comment procedure under Executive Order 12372 and has selected this program for review, it has made this application available for review by the state Single Point of Contact.
12. It will submit all surveys, interview protocols, and other information collections to the COPS Office for submission to the Office of Management and Budget for clearance under the Paperwork Reduction Act of 1995 if required.
13. It will comply with the Human Subjects Research Risk Protections requirements of 28 CFR Part 46 if any part of the funded project contains non-exempt research or statistical activities which involve human subjects and also with 28 CFR Part 22, requiring the safeguarding of individually identifiable information collected from research participants.
14. Pursuant to Executive Order 13043, it will enforce on-the-job seat belt policies and programs for employees when operating agency-owned, rented or personally-owned vehicles.

15. It will not use COPS funds to supplant (replace) state, local, or Bureau of Indian Affairs funds that otherwise would be made available for the purposes of this grant, as applicable.

16. If the awarded grant contains a retention requirement, it will retain the increased officer staffing level and/or the increased officer redeployment level, as applicable, with state or local funds for a minimum of 12 months following expiration of the grant period.

17. It will not use any federal funding directly or indirectly to influence in any manner a Member of Congress, a jurisdiction, or an official of any government, to favor, adopt, or oppose, by vote or otherwise, any legislation, law ratification, policy or appropriation whether before or after the introduction of any bill, measure, or resolution proposing such legislation, law, ratification, policy or appropriation as set forth in the Anti- Lobby Act, 18 U.S.C. 1913.

18. In the event that a portion of grant reimbursements are seized to pay off delinquent federal debts through the Treasury Offset Program or other debt collection process, it agrees to increase the non-federal share (or, if the awarded grant does not contain a cost sharing requirement, contribute a non- federal share) equal to the amount seized in order to fully implement the grant project.

19. None of the funds made available under this award may be distributed to the Association of Community Organizations for Reform Now (ACORN) or its subsidiaries.

False statements or claims made in connection with COPS grants (including cooperative agreements) may result in fines, imprisonment, disbarment from participating in federal grants or contracts, and/or any other remedy available by law.

I certify that the assurances provided are true and accurate to the best of my knowledge.

Elections or other selections of new officials will not relieve the grantee entity of its obligations under this grant.

Signature of Law Enforcement Executive/Agency Executive (For your electronic signature, please type in your name) Garry McCarthy

Garry McCarthy

Date:

06/02/2014

Signature of Government Executive/Financial Official (For your electronic signature, please type in your name) Rahm Emanuel

Rahm Emanuel

Date:

06/02/2014

Section 15B: Certifications

Regarding Lobbying; Debarment, Suspension and Other Responsibility Matters; Federal Taxes and Assessments; Drug-Free Workplace Requirements; and Coordination with Affected Agencies.

Although the Department of Justice has made every effort to simplify the application process, other provisions of federal law require us to seek your agency's certification regarding certain matters.

Applicants should read the regulations cited below and the instructions for certification included in the regulations to understand the requirements and whether they apply to a particular applicant. Signing this form complies with certification requirements under 28 CFR Part 69, "New Restrictions on Lobbying," 2 CFR Part 2867, "Nonprocurement Debarment and Suspension," Public Law 111-117 or the most recent applicable appropriations Act, 28 CFR Part 83, "Government-Wide Requirements for Drug-Free Workplace (Grants)," and the coordination requirements of the Public Safety Partnership and Community Policing Act of 1994. The certifications shall be treated as a material representation of fact upon which reliance will be placed when the Department of Justice determines to award the covered grant.

1. Lobbying

As required by Section 1352, Title 31 of the U.S. Code, and implemented at 28 CFR Part 69, for persons entering into a grant or cooperative agreement over \$100,000, as defined at 28 CFR Part 69, the applicant certifies that:

A. No federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress in connection with the making of any federal grant; the entering into of any cooperative agreement; and the extension, continuation, renewal, amendment or modification of any federal grant or cooperative agreement;

B. If any funds other than federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress in connection with this federal grant or cooperative agreement, the undersigned shall complete and submit Standard Form - LLL, "Disclosure of Lobbying Activities," in accordance with its instructions; and

C. The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subgrants, contracts under grants and cooperative agreements, and subcontracts) and that all sub-recipients shall certify and disclose accordingly.

2. Debarment, Suspension and Other Responsibility Matters (Direct Recipient)

Pursuant to Executive Order 12549, Debarment and Suspension, as implemented at 2 CFR Part 2867, for prospective participants in primary covered transactions, as defined at 2 CFR Part 2867.20(a), and other requirements, the applicant certifies that it and its principals:

A. Are not presently debarred, suspended, proposed for debarment, declared ineligible, sentenced to a denial of federal benefits by a state or federal court, or voluntarily excluded from covered transactions by any federal department or agency;

B. Have not within a three-year period preceding this application been convicted of a felony criminal violation under any Federal law, or been convicted or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (federal, state or local) or private agreement or transaction; violation of federal or state

antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, tax evasion or receiving stolen property, making false claims, or obstruction of justice, or commission of any offense indicating a lack of business integrity or business honesty that seriously and directly affects your present responsibility;

C. Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (federal, state or local) with commission of any of the offenses enumerated in paragraph (B) of this certification; and

D. Have not within a three-year period preceding this application had one or more public transactions (federal, state or local) terminated for cause or default.

3. Federal Taxes and Assessments

A. If applicable, an applicant who receives an award in excess of \$5,000,000 certifies that, to the best of its knowledge and belief, the applicant has filed all federal tax returns required during the three years preceding the certification, has not been convicted of a criminal offense under the Internal Revenue Code of 1986, and has not, more than 90 days prior to certification, been notified of any unpaid federal tax assessment for which the liability remains unsatisfied, unless the assessment is the subject of an installment agreement or offer in compromise that has been approved by the Internal Revenue Service and is not in default, or the assessment is the subject of a non-frivolous administrative or judicial proceeding.

B. The applicant certifies that it does not have any unpaid Federal tax liability that has been assessed, for which all judicial and administrative remedies have been exhausted or have lapsed, and that is not being paid in a timely manner pursuant to an agreement with the authority responsible for collecting the tax liability.

4. Drug-Free Workplace (Grantees Other Than Individuals)

As required by the Drug-Free Workplace Act of 1988, and implemented at 28 CFR Part 83, for grantees/recipients, as defined at 28 CFR Part 83.660 -

A. The applicant certifies that it will, or will continue to, provide a drug-free workplace by:

(i) Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;

(ii) Establishing an on-going drug-free awareness program to inform employees about -

(a) The dangers of drug abuse in the workplace;

(b) The grantee's policy of maintaining a drug-free workplace;

(c) Any available drug counseling, rehabilitation and employee assistance programs; and

(d) The penalties that may be imposed upon employees for drug-abuse violations occurring in the workplace;

(iii) Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph (i);

(iv) Notifying the employee in the statement required by paragraph (i) that, as a condition of employment under the grant, the employee will -

(a) Abide by the terms of the statement; and

(b) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

(v) Notifying the agency, in writing, within 10 calendar days after receiving notice under subparagraph (iv)(b) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to: COPS Office, 145 N St, NE, Washington, D.C. 20530. Notice shall include the identification number(s) of each affected grant;

(vi) Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph (iv)(b), with respect to any employee who is so convicted -

(a) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

(b) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a federal, state or local health, law enforcement or other appropriate agency;

(vii) Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs (i), (ii), (iii), (iv), (v), and (vi).

Grantee Agency Name and Address:

B. The grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant:

Place of performance (street address, city, county, state, zip code)

3510 S Michigan Avenue, Chicago, IL 60653

Check if there are workplaces on file that are not identified here.



5. Coordination

The Public Safety Partnership and Community Policing Act of 1994 requires applicants to certify that there has been appropriate coordination with all agencies that may be affected by the applicant's grant proposal if approved. Affected agencies may include, among others, the Office of the United States Attorney, state or local prosecutors, or correctional agencies. The applicant certifies that there has been appropriate coordination with all affected agencies.

☐ Where the applicant is unable to certify to any of the statements in this Certifications form, he or she shall attach an explanation to this application regarding the particular statement that cannot be certified. Please check the box if an explanation is attached to this application. Please note that the applicant is still required to sign the Certifications form to certify to all the other applicable statements.

Grantee Agency Name and Address:

Chicago Police Department 3510 S Michigan Avenue, Chicago, IL 60653

Grantee IRS/ Vendor Number:

366005820

False statements or claims made in connection with COPS grants (including cooperative agreements) may result in fines, imprisonment, disbarment from participating in federal grants or contracts, and/or any other remedy available by law.

I certify that the assurances provided are true and accurate to the best of my knowledge.

Elections or other selections of new officials will not relieve the grantee entity of its obligations under this grant.

Signature of Law Enforcement Executive/Agency Executive (For your electronic signature, please type in your name) Garry McCarthy

Garry McCarthy

Date:

06/02/2014

Signature of Government Executive/Financial Official (For your electronic signature, please type in your name) Rahm Emanuel

Rahm Emanuel

Date:

06/02/2014

Section 16: Disclosure of Lobbying Activities

Instructions for Completion of SF-LLL, Disclosure of Lobbying Activities

This disclosure form shall be completed by the reporting entity, whether subawardee or prime Federal recipient, at the initiation or receipt of a covered Federal action, or a material change to a previous filing, pursuant to title 31 U.S.C. section 1352. The filing of a form is required for each payment or agreement to make payment to any lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a covered Federal action. Complete all items that apply for both the initial filing and material change report. Refer to the implementing guidance published by the Office of Management and Budget for additional information.

1. Identify the type of covered Federal action for which lobbying activity is and/or has been secured to influence the outcome of a covered Federal action.
2. Identify the status of the covered Federal action.
3. Identify the appropriate classification of this report. If this is a follow-up report caused by a material change to the information previously reported, enter the year and quarter in which the change occurred. Enter the date of the last previously submitted report by this reporting entity for this covered Federal action.
4. Enter the full name, address, city, state and zip code of the reporting entity. Include Congressional District number, if known. Check the appropriate classification of the reporting entity that designates if it is, or expects to be, a prime or subaward recipient. Identify the tier of the subawardee, e.g., the first subawardee of the prime is the 1st tier. Subawards include but are not limited to subcontracts, subgrants and contract awards under grants.
5. If the organization filing the report in item 4 checks "Subawardee," then enter the full name, address, city, state and zip code of the prime Federal recipient. Include Congressional District, if known.
6. Enter the name of the Federal agency making the award or loan commitment. Include at least one organizational level below agency name, if known. For example, Department of Transportation, United States Coast Guard.
7. Enter the Federal program name or description for the covered Federal action (item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans and loan commitments.
8. Enter the most appropriate Federal identifying number available for the Federal action identified in item 1 (e.g., Request for Proposal (RFP) number; Invitation for Bid (IFB) number; grant announcement number; the contract, grant, or loan award number; the application/proposal control number assigned by the Federal agency). Include prefixes, e.g., "RFPD E-90-001."
9. For a covered Federal action where there has been an award or loan commitment by the Federal agency, enter the Federal amount of the award/loan commitment for the prime entity identified in item 4 or 5.
10. (a) Enter the full name, address, city, state and zip code of the lobbying entity engaged by the reporting registrant identified in item 4 to influence the covered Federal action.
(b) Enter the full name(s) of the individual(s) performing services, and include full address if different from 10 (a). Enter Last Name, First Name, and Middle Initial (MI).
11. The certifying official shall sign and date the form, print his/her name, title and telephone number.

According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is OMB No. 0348-0046. Public reporting burden for this collection of information is estimated to average 10 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0046), Washington, DC 20503.

Disclosure of Lobbying Activities

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352.

☒ Not Applicable

Section 17: CERTIFICATION OF REVIEW AND REPRESENTATION OF COMPLIANCE WITH REQUIREMENTS

1) Federal Civil Rights and Grant Reviews:

Please be advised that an application may not be funded and, if awarded, a hold may be placed on the award if it is deemed that the applicant is not in compliance with federal civil rights laws, and/or is not cooperating with an ongoing federal civil rights investigation, and/or is not cooperating with a Department of Justice grant review or audit.

2) Certification of Review of 28 C.F.R. Part 23/Criminal Intelligence Systems:

Please review the COPS Application Guide: Legal Requirements Section for additional information.

Please check one of the following, as applicable to your agency's intended use of this grant:

- ☒ No, my agency will not use these COPS grant funds (if awarded) to operate an interjurisdictional criminal intelligence system.
- ☐ Yes, my agency will use these COPS grant funds (if awarded) to operate an interjurisdictional criminal intelligence system. By signing below, we assure that our agency will comply with the requirements of 28 C.F.R. Part 23.

3) Certification of Review and Representation of Compliance with Requirements:

The signatures of the Law Enforcement Executive/Agency Executive, Government Executive/Financial Official, and the Person Submitting this Application on the Reviews and Certifications represent to the COPS Office that:

- a) the signatories have been legally and officially authorized by the appropriate governing body to submit this application and act on behalf of the grant applicant entity;
- b) the applicant will comply with all legal, administrative, and programmatic requirements that govern the applicant for acceptance and use of federal funds as outlined in the applicable COPS Application Guide; the COPS Grant Owner's Manual, Assurances, Certifications and all other applicable program regulations, laws, orders, and circulars;
- c) the applicant understands that false statements or claims made in connection with COPS programs may result in fines, imprisonment, debarment from participating in federal grants, cooperative agreements, or contracts, and/or any other remedy available by law to the federal government; AND
- d) the information provided in this application, including any amendments, shall be treated as material representations of fact upon which reliance will be placed when the Department of Justice determines to award the covered grant.
- e) the applicant understands that as a general rule COPS funding may not be used for the same item or service funded through another funding source.
- f) the applicant and any required or identified official partner(s) listed in Section 12 are partners in this grant project and mutually agreed to this partnership prior to this grant application.

The signatures of the Law Enforcement Executive/Agency Executive and the Government Executive/Financial Official on this application must be the same as those identified in Section 4 of this application. Applications with missing, incomplete, or inaccurate signatories or responses may not be considered for funding.

Signature of Law Enforcement Executive/Agency Executive (For your electronic signature, please type in your name) Garry McCarthy

Garry McCarthy

Date:

06/02/2014

Signature of Government Executive/Financial Official (For your electronic signature, please type in your name) Rahm Emanuel

Rahm Emanuel

Date:

06/02/2014

Signature of Person Submitting This Application (For your electronic signature, please type in your name)

Tomas Maulawin

Date:

06/02/2014

☐ By clicking this box, the applicant understands that the use of typed names in this grant application and the required grant forms, including the Assurances and Certifications, constitute electronic signatures and that the electronic signatures are the legal equivalent of handwritten signatures.