



COPS Office Award Application

ILCPD00

Chicago, City of

Project Title:

The Chicago Police Department's Sergeant Early Intervention System
(EIS) Training Project



COPS
Community Oriented Policing Services
U.S. Department of Justice

SECTION 1: COPS OFFICE AWARD PROGRAM REQUEST

The program you have selected is: Community Policing Development. For the Collaborative Reform Initiative for Technical Assistance (CRI-TA) and Preparing for Active Shooter Situations (PASS) invitational programs, follow the instructions below to proceed with submitting an application.

CPD Topic Area: Applicants for the CPD program must select one CPD topic area from the drop down menu. Applicants for Collaborative Reform Initiative for Technical Assistance (CRI-TA) and Preparing for Active Shooter Situations (PASS) should use the Invitational dropdown from the CPD topic area list.

CPD Topic Area:

5. Supporting First-Line Supervisors

B. Research & Development (R&D)

B1) Could any portion of your project be considered research and development (R&D) as defined by 2 C.F.R. §200.87? (See definition below)

No

R&D means all research activities, both basic and applied, and all development activities that are performed by nonfederal entities. The term research also includes activities involving the training of individuals in research techniques where such activities utilize the same facilities as other research and development activities and where such activities are not included in the instruction function. "Research" is defined as a systematic study directed toward fuller scientific knowledge or understanding of the subject studied. "Development" is the systematic use of knowledge and understanding gained from research directed toward the production of useful materials, devices, systems, or methods, including design and development of prototypes and processes.

SECTION 2: AGENCY ELIGIBILITY INFORMATION**A. Type of Agency (select one)**

- ☒ Law Enforcement
☐ Non-Law Enforcement

From the list below, please select the type of agency which best describes the applicant.

Law Enforcement Entities

Municipal Police

SECTION 3: GENERAL AGENCY INFORMATION**A. Applicant ORI Number ILCPD00**

The ORI number is assigned by the FBI and is your agency's unique identifier. The COPS Office uses the first seven characters of this number. The first two letters are your state abbreviation, the next three numbers are your county's code, and the next two numbers identify your jurisdiction within your county. If you do not currently have an ORI number, the COPS Office will assign one to your agency for the purpose of tracking your award. ORI numbers assigned to agencies by the COPS Office may end in "ZZ."

B. Applicant Data Universal Numbering System (DUNS) Number:

1052334930000

A Data Universal Numbering System (DUNS) number is required prior to submitting this application. A DUNS number is a unique nine or thirteen digit sequence recognized as the universal standard for identifying and keeping track of entities receiving federal funds. For more information about how to obtain a DUNS number, please refer to the "How to Apply" section of the COPS Office Application Guide.

C. System for Award Management (SAM)

The System for Award Management (SAM) replaces the Central Contractor Registration (CCR) database as the repository for standard information about federal financial assistance applicants, recipients, and sub recipients. The U.S. Department of Justice requires that all applicants (other than individuals) for federal financial assistance maintain current registrations in the SAM database. Please note that applicants must update or renew their SAM registration at least once a year to maintain active status.

Applicants that were previously registered in the CCR database must, at a minimum:

Create a SAM account

Log into SAM and migrate permissions to the SAM account (all the entity registrations and records should already have been migrated).

Applicants that were not previously registered in the CCR database must register in SAM prior to registering in Grants.gov. Information about SAM Registration procedures can be accessed at <http://www.sam.gov>.

For more information about how to register with SAM, please refer to the "How to Apply" section of the COPS Office Application Guide.

Your SAM Registration is set to expire on:

07/05/2019

Please enter date in MM/DD/YYYY format.

Note: If your SAM registration is set to expire prior to September 30, 2018, please renew your SAM Registration prior to completing this application. Contact the SAM Service Desk at 866-606-8220 or view/update your registration information at <http://www.sam.gov>

D. Geographic Names Information System (GNIS) ID:

0428803

Please enter your Geographic Names Information System (GNIS) Identification Number. This is a unique ID assigned to all geographic entities by the U.S. Geological Survey. To look up your GNIS Feature ID, please go to the website: <http://geonames.usgs.gov/domestic/index.html>. For more information about how to obtain a GNIS number, please refer to the "How to Apply" section of the COPS Office Application Guide.

E. Cognizant Federal Agency: Department of Health and Human Services

Select the legal applicant's Cognizant Federal Agency. A Cognizant Federal Agency, generally, is the federal agency from which your jurisdiction receives the most federal funding. Your Cognizant Federal Agency also may have been previously designated by the Office of Management and Budget. Applicants that have never received federal funding should select "Department of Justice" as the Cognizant Federal Agency.

F. Fiscal Year: From

01/01/2018

to

12/31/2018

I. U.S. Department of Justice and Other Federal Funding

Be advised that as a general rule COPS Office funding may not be used for the same item or service funded through another funding source. However, leveraging multiple funding sources in a complementary manner to implement comprehensive programs or projects is encouraged and is not seen as inappropriate. To aid the COPS Office in the prevention of awarding potentially duplicative funding, please indicate whether your agency has a pending application and/or an active award with any other federal funding source (e.g. direct federal funding or indirect federal funding through State sub-awarded federal funds) which supports the same or similar activities or services as being proposed in this COPS Office application. (complete the tables below):

[illegible]

SECTION 4: EXECUTIVE INFORMATION

Note: Listing individuals without ultimate programmatic and financial authority for the award could delay the review of your application, or remove your application from consideration.

A. Law Enforcement Executive/Agency Executive Information:

For Law Enforcement Agencies: This is the highest ranking law enforcement official within your jurisdiction (e.g., chief of police, sheriff, or equivalent). The section below has been pre-populated from the information listed in your COPS Office Agency Portal Account. If this information is no longer correct, please log in to your COPS Office Agency Portal account and make the necessary corrections before proceeding with this application. For assistance, please call the COPS Office Response Center at 800-421-6770. For Non-Law Enforcement Agencies: This is the highest ranking individual in the applicant agency (e.g., chief executive officer, president, chairperson, director) who has the authority to apply for this award on behalf of the applicant agency. If the award is awarded, this position will ultimately be responsible for the programmatic implementation of the award. The section below has been pre-populated from the information listed in your COPS Office Agency Portal Account. If this information is no longer correct, please log in to your COPS Office Agency Portal account and make the necessary corrections before proceeding with this application. For assistance, please call the COPS Office Response Center at 800-421-6770.

Title: Superintendent

First Name: Eddie MI: T Last Name: Johnson Suffix:

Agency Name: Chicago, City of

Street1: 3510 South Michigan Avenue

Street2:

City: Chicago State: IL Zip / Postal Code: 60653

Telephone Number: 3127456100 Fax: 3127456925 Email: suptadmin@chicagopolice.org

[Edit Contact Information](#)

B. Government Executive/Financial Official Information:

For Government Agencies: This is the highest ranking government official within your jurisdiction (e.g., mayor, city administrator, or equivalent). The section below has been pre-populated from the information listed in your COPS Office Agency Portal Account. If this information is no longer correct, please log in to your COPS Office Agency Portal account and make the necessary corrections before proceeding with this application. For assistance, please call the COPS Office Response Center at 800-421-6770. For Non-Government Agencies: This is the financial official who has the authority to apply for this award on behalf of the applicant agency (e.g., chief financial officer, treasurer). If the award is awarded, this position would ultimately be responsible for the financial management of the award. Please note that information for non executive positions (e.g., clerks, trustees) is not acceptable. The section below has been pre-populated from the information listed in your COPS Office Agency Portal Account. If this information is no longer correct, please log in to your COPS Office Agency Portal account and make the necessary corrections before proceeding with this application. For assistance, please call the COPS Office Response Center at 800-421-6770.

Title: Mayor

First Name: Rahm MI: Last Name: Emanuel Suffix:

Agency Name: Chicago, City of

Street1: 121 North LaSalle Street

Street2:

City: Chicago State: IL Zip / Postal Code: 60602

Telephone Number: 3127440237 Fax: 3127448045 Email: Walter.Katz@cityofchicago.org

[Edit Contact Information](#)

C. Application Contact Information:

Application Contact: Enter the application contact's name and contact information.

Title:

First Name: MI: Last Name: Suffix:

Agency Name:

Street1:

Street2:

City: State: Zip / Postal Code:

Telephone Number: Fax: Email:

SECTION 6A: LAW ENFORCEMENT & COMMUNITY POLICING STRATEGY

Community Policing Definition Framework

The following is the COPS Office definition of community policing that emphasizes the primary components of community partnerships, organizational transformation, and problem solving.

Community policing is a philosophy that promotes organizational strategies that support the systematic use of partnerships and problem solving techniques to proactively address the immediate conditions that give rise to public safety issues, such as crime, social disorder, and fear of crime.

The COPS Office has completed the development of a comprehensive community policing self-assessment tool for use by law enforcement agencies. Based on this work, we have developed the following list of primary sub elements of community policing. Please refer to the COPS Office web site (<https://cops.usdoj.gov>) for further information regarding these sub elements.

Community Partnerships:

Collaborative partnerships between the law enforcement agency and the individuals and organizations they serve to both develop solutions to problems and increase trust in police.

Other Government Agencies

Community Members/Groups

Non-Profits/Service Providers

Private Businesses

Media

Organizational Transformation:

The alignment of organizational management, structure, personnel and information systems to support community partnerships and proactive problem-solving efforts.

Agency Management

Climate and culture

Leadership

Labor relations

Decision making

Strategic planning

Policies

Organizational evaluations

Transparency

Organizational Structure

Geographic assignment of officers

Despecialization

Resources and finances

Personnel

Recruitment, hiring and selection

Personnel supervision/evaluations

Training

Information Systems (Technology)

Communication/access to data

Quality and accuracy of data

Problem Solving:

The process of engaging in the proactive and systematic examination of identified problems to develop effective responses that are rigorously evaluated.

Scanning: Identifying and prioritizing problems

Analysis: Analyzing problems

Response: Responding to problems

Assessment: Assessing problem-solving initiatives

Using the Crime Triangle to focus on immediate conditions (Victim/Offender/Location)

CP1) To what extent is there community support in your jurisdiction for implementing the proposed award activities?

- ☒ a) High level of support
- ☐ b) Moderate support
- ☐ c) Minimal support

CP2) If awarded, to what extent will the award activities impact the other components of the criminal justice system in your jurisdiction?

- ☒ a) Potentially decreased burden
- ☐ b) No change in burden
- ☐ c) Potentially increased burden

SECTION 7: NEED FOR FEDERAL ASSISTANCE**A. Explanation of Need for Federal Assistance**

All applicants are required to explain their inability to address the need for this award without federal assistance. Please do so in the space below. [Please limit your response to a maximum of 4,000 characters.]

CPD and the City of Chicago continue to experience resource restrictions that limit our ability to implement vital policing reform initiatives needed to respond to our pressing public safety challenges, which include significant increases in shootings and murders and reduced community trust in the police. It is well known and has been widely reported that although CPD had implemented comprehensive crime reduction strategies that reduced overall index crime through 2015, Chicago had the largest murder increase in 2016 of any 'large American city' in the last 25 years. In fact, according to an updated analysis by the Brennan Center for Justice, more than half (55.1%) of the country's 2016 urban homicide rate increase was attributed to Chicago alone. While other large cities driving that increase (e.g. Baltimore; Washington, D.C.) significantly decreased their murders and shootings in 2016, the number of murders and shootings in Chicago skyrocketed throughout all of 2016, and it was reported Chicago had more murders in 2016 (760) than did any of the nation's largest cities, and greater than New York and Los Angeles combined. Chicago's violent crime upsurge has continued to date, although to a lesser degree in recent months, perhaps in part because of the City's costly expenditures for technology (i.e. deployment of Shot Spotter in 13 of 22 police districts) and massive policing overtime strategies. The consequence of this ongoing fiscal distress? Even as the City has strived to creatively reduce costs and achieve operational efficiencies, ongoing resource limitations continue to stifle CPD's development and implementation of new and much needed policing strategies. Beyond the impact of the above-noted recent expenditures it should be noted that year after year, 93% of CPD's budget has been allocated to personnel costs, leaving very little for all other policing costs, requiring CPD to rely extensively on federal grant funding for most all research and development initiatives. However, this ongoing fiscal stress has encouraged innovation and new ideas on how policing can operate more efficiently and effectively - and just such an important idea has emerged that CPD cannot develop and implement without federal funding support as requested in the current application. CPD and its local and national partners have been working for months to develop technology and training concepts to measurably enhance the ability of our nearly 1,200 sergeants (CPD's first-line supervisors) to better supervise the 10,000 officers under their command by detecting early warning signs that an officer's performance, especially when interacting with the public, is at risk of worsening - and to intervene with that officer to validate those possible concerns and proactively link that officer to needed services. The technology side of this initiative is being handled separately; the current funding opportunity will allow CPD and partners to develop and implement a "Next-Gen" Sergeant Early Intervention System (EIS) Training Program. Quite simply, this important initiative cannot be initiated and completed without federal support through the FY 2018 COPS Community Policing Development grant program. The federal support requested by CPD's current proposal will allow us to connect national and local expertise, including members of a National EIS Advisory Team, to make available to our sergeants the data, skills and insights they need to identify officers in need of assistance in order to provide them with the support and/or training they need to be at their best, and to consistently demonstrate policing legitimacy while abiding by procedural justice principles when serving and interacting with the community. We believe this approach will help us reverse current downward officer morale and violent crime trend lines while simultaneously improving community trust in, and partnership with the Chicago Police Department in co-producing public safety in Chicago.

SECTION 8: CONTINUATION OF PROJECT AFTER FEDERAL FUNDING ENDS

If you are applying for a COPS Office award with a post-award retention plan requirement, please complete A. If you are applying for a COPS Office award without a post-award retention plan requirement, please complete B.

B. Continuation of Project after Federal Funding Ends (for other COPS Office awards with no retention plan requirement)

Please complete these questions to indicate any plans you may have to continue this program, project, or activity after the conclusion of federal funding.

1. Does your agency plan to obtain necessary support and continue the program, project, or activity following the conclusion of federal support?

- ☒ Yes
☐ No

2. Please identify the source(s) of funding that your agency plans to utilize to continue the program, project, or activity following the conclusion of federal support: (check all that apply)

- ☒ General funds
☐ Raise bond/tax issue
☐ Private sources/donations
☐ Non-federal asset forfeiture funds (subject to approval from the state or local oversight agency)
☐ State, local, or other non federal award funding
☐ Fundraising efforts
☐ Other

If other, please provide a brief description of the source(s) of funding not to exceed 500 characters.

SECTION 10: PROJECT ABSTRACT

Applicants are required to provide a brief, high-level project abstract that summarizes the proposed project in 200 words or less. Project abstracts should explain to the reader about the projects' purpose, scope, activities, and key partners, if applicable. An abstract should be coherent, concise, and able to stand alone as a summary of the project. Project abstracts should be written for a general public audience.

First-line supervisors (Sergeants) are the core of community-focused policing. As leaders within the Chicago Police Department (CPD), they set the tone for their officers' interactions with community members; and as leaders within the community, they serve as the face of the Department when community members are most distressed. Under the proposed Sergeant Early Intervention System (EIS) Training Project, CPD, in partnership with the University of Chicago Crime Lab and a team of police experts from across the country who comprise the EIS National Advisory Committee (NAC), will develop and test sergeant training aimed at enhancing the knowledge and skills of officers and agencies to ensure the highest level of first-line supervision. The training developed as a result of this work will both teach sergeants to employ new EIS technology in their day-to-day work, and improve sergeants' mentorship and leadership skills to help them successfully use this tool to engage with their direct reports and the communities they serve. Los Angeles Police Department Assistant Chief Sandy Jo MacArthur (ret.) will lead the development and testing of the proposed first-line supervisory training alongside a subcommittee of relevant subject matter experts from the NAC, the UChicago Crime Lab, and CPD.

SECTION 11: PROJECT DESCRIPTION (NARRATIVE)

F. Project Description (Narrative) Attachment: Please include in your application an in-depth narrative response detailing your proposed project. Please refer to the COPS Office program-specific application guide: "How to Apply" for information on what should be included in your response, as well as any additional formatting requirements and page length limitations. Note: Community Policing Development (CPD), Collaborative Reform Initiative for Technical Assistance (CRI-TA), Preparing for Active Shooter Situations (PASS), COPS Anti-Methamphetamine Program (CAMP), and Anti-Heroin Task Force Program (AHTF) award applicants must submit their entire project description as an attachment in section 13 of this application.

1. Project Title:

SECTION 12: OFFICIAL PARTNER(S) CONTACT INFORMATION

An official "partner" under the award may be a governmental, private, school district, or other applicable entity that has established a legal, contractual, or other agreement with the applicant for the purpose of supporting and working together for mutual benefits of the award. Please see the COPS Office application guide for more information on official partners that may be required.

| First Name | Last Name | Agency Name | Action |
|------------|-----------|---------------------------------------|---|
| Zoe | Russek | University of Chicago - Crime Lab | Edit Delete |
| Sandy Jo | Macarthur | Independent Consultant | Edit Delete |
| Maggie | Goodrich | Independent Consultant | Edit Delete |
| Roseanna | Ander | University of Chicago - Crime Lab | Edit Delete |
| Jens | Ludwig | University of Chicago - Crime Lab | Edit Delete |
| Gregory | Stoddard | University of Chicago - Crime Lab NYC | Edit Delete |

Title:

First Name: Middle Name: Last Name: Suffix:

Name of Partner Agency (e.g., Smithville High School):

Type of Partner Agency (e.g., School District):

Street1:

Street2:

City: State: Zip/ Postal Code:

Telephone Number: Fax: Email:

Important! Please click "Add Partner" below to add a partner to the list.

Reminder! If you have modified ([edit](#), [update](#), or [delete](#)) the list of partners in any way, please click "Save" to store your changes before moving to the next section.

[Add Partner](#)

SECTION 13: APPLICATION ATTACHMENTS

This section should be used to submit the required Project Description described in section 11. Each application must have a cover page that includes the title of the application and identifies the solicitation topic/sub-topic for which the applicant is applying. The solicitation topic identified on the cover page must match the topic identified in Section 1 of the COPS Office Online Application System. The page requirements are the following: for non-microgrant applications, no more than 20 pages and no fewer than 10 pages for the project narrative; for microgrant applications, no more than 10 pages and no fewer than 2 pages for the project narrative. Table of contents and cover page will not count toward the overall page limit. Executive summaries, abstracts, timelines, graphs, and charts (regardless of pagination in front matter) will count toward overall page limit. Appendices are strongly discouraged. Up to three Resumes/Vita, the Budget Narrative (see Community Policing Development (CPD) COPS Office FY2018 Application Guide), Indirect Cost Rate Agreement (if applicable), Sole Source Justification (if applicable), budget justification documentation (pay scales, travel policies, etc.), and documentation justifying consultant rates over \$650 per day if the consultant is hired through a noncompetitive bidding process (if applicable) should also be uploaded in this section. Applicants should attach the Vita/resumes of up to three key project staff detailing work and educational history, and highlighting any experience that is relevant to their ability to successfully carry out the proposed project. Vita and Resumes of Key Project Personnel attachments do not count toward the page limit. All CPD applicants must attach a Budget Narrative. Your agency must create and attach a document that (1) describes each item requested or group of similar items requested; and (2) links each item or group of items to the proposed project. All items will be reviewed on a case-by-case basis and in context of the allowable and unallowable costs lists. Budget narratives do not count toward the page limit. See section 14: Budget Detail Worksheets and Budget Narrative for instructions and sample information.

If the program for which you are applying requires a memorandum of understanding (MOU), this document should define the roles and responsibilities of the individuals and partner(s) involved in your proposed project. Please refer to the program-specific application guide to determine if an MOU or other application attachments are required. The guide will also specify if optional attachments are permitted for submission. Please use appropriately descriptive file names (e.g., Program Narrative, Budget Detail Worksheet and Budget Narrative, Memoranda of Understanding, Resumes) for all attachments. Please do not submit executable file types as application attachments. These disallowed file types include, but are not limited to, the following extensions: .com, .bat, .exe, .vbs, .cfg, .dat, .db, .dbf, .dll, .ini, .log, .ora, .sys, and .zip. The system may reject applications with files that use these extensions.

Current Attachments

Upload attachments using "Browse..." and "Upload...". File names may only contain: a-z0-9period(.), underscore(_), hyphen(-) Characters other than these will be replaced by a hyphen(-). After clicking the "Upload..." button, please wait for the page to refresh. The uploaded file will automatically appear in the file list.

| | | |
|--|---|------------------------|
| FY18 COPS CPD Chicago PD EIS Project_Key Staff Resumes.pdf | Resume | Delete |
| Form COPS_Sh_ApplicationAttachment_2_3-V2.3.pdf | SF-424 | Delete |
| Form SF424_2_1-V2.1.pdf | SF-424 | Delete |
| SF424_2_1-1234-FY18 COPS CPD Congressional Districts.pdf | SF-424 | Delete |
| FY18 COPS CPD Chicago PD EIS Project_Budget Narrative.pdf | Budget Narrative | Delete |
| FY18 COPS CPD Chicago PD EIS Project_1373 Certification Addendum.pdf | Subrecipient Certification of Compliance with 8 U.S.C. § 1373 | Delete |
| Chicago PD Indirect Cost Rate.pdf | Indirect Cost Rate Agreement | Delete |
| FY18 COPS CPD Chicago PD EIS Project_LOS Crime Lab.pdf | Letters of Support | Delete |
| FY18 COPS CPD Chicago PD EIS Project_Project Narrative.pdf | Project Description (Narrative) | Delete |

[Browse...](#)

No file selected.

Budget Justification Documentation

[Upload File ...](#)

SECTION 14: BUDGET DETAIL WORKSHEETS

Instructions for Completing the Budget Detail Worksheets

The following Budget Detail Worksheets are designed to allow all COPS Office award and cooperative agreement applicants to use the same budget forms to request funding. Allowable and unallowable costs vary widely and depend upon the type of COPS Office program. The maximum federal funds that can be requested and the federal/local share breakdown requirements also vary.

Please refer to the program-specific application guide to determine the allowable/unallowable costs, the maximum amount of federal funds that can be requested, and the federal/local share requirements for the COPS Office program for which your agency is applying (See <https://cops.usdoj.gov/Default.asp?Item=46>). To assist you, sample Budget Detail Worksheets are included in each application guide.

Please complete each section of the Budget Detail Worksheets applicable to the program for which you are applying (see <https://cops.usdoj.gov/Default.asp?Item=46> for requirements). If you are not requesting anything under a particular budget category, please check the appropriate box in that category indicating that no positions or items are requested.

Applicants must submit reasonable budgets based on the resources needed to implement their proposed projects. The budget should display a clear link between the specific project activities and the proposed budget items. It should not contain any items that are not detailed in the project narrative.

The budget narrative should thoroughly and clearly describe every category of expense listed in the budget detail worksheets contained in section 14 of the application. The COPS Office expects proposed budgets to be complete, cost effective, and allowable (e.g., reasonable, allocable, and necessary for project activities).

Final calculations will be rounded to the nearest whole dollar. Once the budget for your proposal has been completed, a budget summary page will reflect the total amounts requested in each category, the total project costs, and the total federal and local shares.

In addition to the Budget Detail Worksheet, it is a requirement of the CPD, PASS, and CRI-TA programs to attach a separate budget narrative under Section 13. Failure to attach the detailed budget narrative, as outlined in the application guides, will eliminate your application from consideration.

If you need assistance in completing the Budget Detail Worksheets, please call the COPS Office Response Center at 800-421-6770.

B. BASE SALARY AND FRINGE BENEFITS FOR CIVILIAN/NON-SWORN PERSONNEL

Instructions: Please complete the questions below for one non-sworn position salary and benefits package. As applicable per the program-specific application guide, you may also be required to project year 2 and year 3 salaries. Please refer to <http://www.cops.usdoj.gov/Default.asp?Item=46> for information about allowable and unallowable fringe benefits for civilian/non-sworn personnel requested under the program to which your agency is applying. If requesting Civilian/Non-Sworn Personnel in this section, applicants must indicate the number of positions under D. Total Salary and Benefits for Years 1 and 2 before saving or moving to the next section. If the number of positions is left at zero and does not reflect the actual number of positions, this page will revert to a blank page.

B. CIVILIAN POSITIONS

Remove Position

A. Base Salary Information

| | <u>Year 1 Salary</u> | <u>Year 2 Salary</u> |
|----------------|--|---|
| Position Title | <input type="text" value="Type here to create 1st position"/> | |
| Description | <div></div> | |
| | Enter the first year entry-level base salary for this civilian/non-sworn position. <input type="text" value="0"/> | Enter the second year entry-level base salary for this civilian/non-sworn position. <input type="text" value="0"/> |
| | x <input type="text" value="100.00"/> % of time on project <input type="text" value="0"/> | x <input type="text" value="100.00"/> % of time on project <input type="text" value="0"/> |
| Select One... | Does the base salary include Vacation costs? Please select Yes or No. | Select One... Does the base salary include Vacation costs? Please select Yes or No. |
| Select One... | Does the base salary include Sick Leave costs? Please select Yes or No. | Select One... Does the base salary include Sick Leave costs? Please select Yes or No. |

B. Fringe Benefit costs should be calculated for each year of the award term.

FRINGE BENEFITS:

| | <u>Year 1 Fringe Benefits</u> | <u>Year 2 Fringe Benefits</u> |
|--|--------------------------------|-----------------------------------|
| | COST BASE | % OF SALARY |
| Social security expenses cannot exceed 6.2% <input type="checkbox"/> Exempt <input checked="" type="checkbox"/> 6.2% <input type="checkbox"/> Fixed Rate | <input type="text" value="0"/> | <input type="text" value="6.2"/> |
| Medicare expenses cannot exceed 1.45% <input type="checkbox"/> Exempt <input checked="" type="checkbox"/> 1.45% <input type="checkbox"/> Fixed Rate | <input type="text" value="0"/> | <input type="text" value="1.45"/> |
| Health insurance | <input type="text" value="0"/> | <input type="text" value="0"/> |
| Life Insurance | <input type="text" value="0"/> | <input type="text" value="0"/> |
| Vacation Number of Hours Annually: <input type="text" value="0"/> | <input type="text" value="0"/> | <input type="text" value="0"/> |
| Sick Leave Number of Hours Annually: <input type="text" value="0"/> | <input type="text" value="0"/> | <input type="text" value="0"/> |
| Retirement | <input type="text" value="0"/> | <input type="text" value="0"/> |
| Worker's Compensation <input type="checkbox"/> Exempt | <input type="text" value="0"/> | <input type="text" value="0"/> |
| Unemployment Insurance <input type="checkbox"/> Exempt | <input type="text" value="0"/> | <input type="text" value="0"/> |
| Other <input type="text" value="Select One"/> | <input type="text" value="0"/> | <input type="text" value="0"/> |
| Other <input type="text" value="Select One"/> | <input type="text" value="0"/> | <input type="text" value="0"/> |

C. EQUIPMENT/TECHNOLOGY

☒ No Equipment/Technology Requested

Instructions: List non expendable items that are to be purchased. Provide a specific description for each item in the description boxes below and explain how the item supports the project goals and objectives as outlined in your application. Non expendable equipment is tangible property (e.g., information technology systems) having a useful life of more than one year and an acquisition cost of \$5,000 or more per unit. Expendable items should be included either in the "SUPPLIES" or "OTHER" categories. Applicants should analyze the cost benefits of purchasing versus leasing equipment, especially for high-price items and those subject to rapid technical advances. Rented or leased equipment costs should be listed in the "CONTRACTS / CONSULTANTS" category.

Please be advised that, to the greatest extent practical, all equipment and products purchased with these funds must be American-made.

For agencies purchasing items related to enhanced communications systems, the COPS Office expects and encourages that, wherever feasible, such voice or data communications equipment should be incorporated into an intra- or inter- jurisdictional strategy for communications interoperability among federal, state, and local law enforcement agencies.

See <https://cops.usdoj.gov/Default.asp?Item=46> for a list of allowable/unallowable costs for this program. Please limit your descriptions to 1000 characters.

D. SUPPLIES

☐ No Supplies Requested

Instructions: List items by type (office supplies; postage; training materials; copying paper; books; hand-held tape recorders; computing devices costing less than \$5,000; etc). Provide a specific description for each item in the description boxes below and explain how it supports the project goals and objectives outlined in your application. Generally, supplies include any materials that are expendable or consumed during the course of the project, costing less than \$5,000.

See <https://cops.usdoj.gov/Default.asp?Item=46> for a list of allowable/unallowable costs for this program. Please limit your descriptions to 1000 characters.

Item Name

| Name | Cost | Qty | Sub Total | Description | |
|-------------------|------------------|-----|-----------|--|--------|
| Whiteboards | Base cost: 25.00 | 8 | 200.00 | Whiteboards will assist CPD Academy personnel in developing and delivering EIS curriculum. | Delete |
| Dry Erase Markers | Base cost: 7.00 | 24 | 168.00 | Dry Erase Markers will assist CPD Academy personnel in developing and delivering EIS curriculum. | Delete |
| | | | Total : | 368.00 | |

[Add Item](#)

E. TRAVEL/TRAINING/CONFERENCES

☒ No Travel/Training/Conferences Requested

Instructions: Travel costs are the expenses for transportation, lodging, subsistence, temporary dependent care, and related items incurred by employees who are in travel status on official business of the nonfederal entity. Official travel can include attendance at an award-related meeting or conferences when travel is further than 50 miles from the program location. The only individuals traveling that should be included in this section are ones listed in "Section 14.B. Base Salary and Fringe Benefits for Civilian/Non-Sworn Personnel." All other individuals traveling for this project including participant and consultant travel should be listed under "Section 14.F. Contracts/Consultants." Applicants without a written travel policy must follow the established federal rates found at (<https://www.gsa.gov>) for lodging, meals, and per diem. For all applicants (with or without a written travel policy), airfare travel costs must be one of the following: the lowest discount commercial airfare, standard coach airfare, or the Federal Government contract airfare (if authorized and available).

Instructions: Itemize award-related travel expenses of recipient personnel (excluding consultants, whose expenses are listed in section F) by event (e.g., mandatory training, staff to training, field interviews, advisory group meetings). Identify the location of travel whenever possible, and show the number of staff expected to attend each event. Registration/training fees, transportation (including airfare, rental cars, parking, and/or baggage fees), lodging and per diem rates for individuals should be listed as separate travel items. Recipient travel costs specific to the award project may be based on the applicant's written travel policy, assuming the costs are reasonable.

See <https://cops.usdoj.gov/Default.asp?Item=46> for a list of allowable/unallowable costs for this program. Provide a specific description in the description boxes below for each item and explain how the item supports the project goals and objectives as outlined in your application. Please limit your descriptions to 1000 characters.

F. CONTRACTS/CONSULTANTS

☐ No Contract Costs Requested

Instructions: See <https://cops.usdoj.gov/Default.asp?Item=46> for a list of allowable/unallowable costs for the particular program to which you are applying. Provide a specific description in the description boxes below for each item and explain how the item supports project goals and objectives as outlined in your application. Please limit your descriptions to 1000 characters.

Contracts: Provide a cost estimate for the product or service to be procured by contract. Applicants are encouraged to promote free and open competition in awarding contracts. If awarded, requests for sole source procurements of equipment, technology, or services in excess of \$150,000 must be submitted to the COPS Office for prior approval. (See <https://cops.usdoj.gov/Default.asp?Item=46> for more information on the required submission.)

F1. Contract Costs

| Name | Cost | Qty | Sub Total | Description | |
|---------------------------------|------------------------|-----|-----------|---|--------|
| | | | | Zoe Russek, Research Manager, will conduct all project administrative, scheduling, and reporting tasks. She will also facilitate any and all research activities. Notably, Ms. Russek also serves as research manager on the Crime Lab's early intervention system work with CPD. Her knowledge of the EIS development will help her lend context to the development of the EIS Sergeant's Training Program. The Crime Lab will commit 960 hours (480 hours in Year One and 480 hours in Year Two) of personnel time at an average rate of \$81.25 per hour during the 24-month project period. Compensation will be based on actual services performed during the grant period and will not exceed the COPS Office consultant/contractor limit of \$650 per day. | |
| University of Chicago Crime Lab | Base cost: 39000.00 | 2 | 78000.00 | CALCULATION: • Year One: 480 hours @ \$81.25 = \$39,000 • Year Two: 480 hours @ \$81.25 = \$39,000 | Delete |
| | | | Total : | 78000.00 | |

[Add Item](#)☐ No Consultant Fees Requested

Instructions: See <https://cops.usdoj.gov/Default.asp?Item=46> for a list of allowable/unallowable costs for the particular program to which you are applying. Provide a specific description in the description boxes below for each item and explain how the item supports the project goals and objectives as outlined in your application. Please limit your descriptions to 1000 characters.

Consultant Fees: For each consultant enter the name (if known), service to be provided, hourly or daily fee (based upon an 8-hour day), and estimated length of time on the project. Unless otherwise approved by the COPS Office, approved consultant rates will be based on the salary a consultant receives from his or her primary employer. Consultant fees in excess of \$650 per day require additional written justification and must be pre-approved in writing by the COPS Office if the consultant is hired via a noncompetitive bidding process.

F2. Consultant Fees

| Name | Cost | Qty | Sub Total | Description | |
|------|------|-----|-----------|-------------|--|
|------|------|-----|-----------|-------------|--|

Base cost:

Assistant Chief MacArthur will design the training curriculum and work with CPD Academy staff to carry out a train-the-trainer approach to developing this training. She will commit 750 hours in year one and 240 hours in year two, for a total of 990 hours, at an average rate of \$81.25 per hour. Compensation will be based on actual services performed during the grant period and will not exceed the COPS Office consultant/contractor \$650 per day cost.

[Delete](#)

Year One: wages (\$60,938) + travel (\$4,988) = \$65,926
Year Two: wages (\$19,500) + travel (\$2,494) = \$21,994
Total = \$80,438

Total:

[Add Item](#)

☐ **No Consultant Travel Requested**

Instructions: See <https://cops.usdoj.gov/Default.asp?Item=46> for a list of allowable/unallowable costs for the particular program to which you are applying. Provide a specific description in the description boxes below for each item and explain how the item supports the project goals and objectives as outlined in your application. Please limit your descriptions to 1000 characters.

Consultant Travel: List all travel-related expenses to be paid from the award to the individual consultants (e.g., transportation, meals, lodging) separate from their consultant fees.

F3. Consultant Travel

| Name | Cost | Qty | Sub Total | Description | |
|---|--|--------------------------------|--------------------------------------|---|------------------------|
| <input type="text" value="Sandy Jo MacArthur"/> | <div>Registration: <input type="text" value="0.00"/> Lodging: <input type="text" value="3000.00"/> Per Diem: <input type="text" value="1152.00"/> Transportation: <input type="text" value="3330.00"/></div> | <input type="text" value="1"/> | <input type="text" value="7482.00"/> | <div>Assistant Chief MacArthur will make four trips to Chicago in year one and two trips in year two. The following travel cost estimates are based on City of Chicago Travel Guidelines: Each three-day trip will include two (2) nights lodging @ \$250/night; three (3) days per diem @ \$64/day; round-trip airfare @ \$500; and ground transportation @ \$55 per trip = \$1,247 per trip. Year One: Travel \$4,988 = \$4,988 Year Two: Travel \$2,494 = \$2,494 Total = \$7,482</div> | Delete |

| | | | | |
|--------------------------------|----------|-----------------|---------|----------|
| | | Registration: | | |
| | | | 0.00 | |
| | Lodging: | | 4000.00 | |
| 2) National Advisory Committee | | Per Diem: | 1 | 9976.00 |
| | | | 1536.00 | |
| | | Transportation: | | |
| | | | 4440.00 | |
| Total : | | | | 17458.00 |

This subcommittee will gather twice in Chicago to collaborate on the EIS Sergeant's Training curriculum development and implementation. The following travel cost estimates are based on City of Chicago Travel Guidelines.

CALCULATION:

- Year One Travel: two (2) trips @ \$1,247 x four (4) consultants = \$9,976

Total = \$9,976

Delete

[Add Item](#)

☒ **No Consultant Expenses Requested**

Instructions: See <https://cops.usdoj.gov/Default.asp?Item=46> for a list of allowable/unallowable costs for the particular program to which you are applying. Provide a specific description in the description boxes below for each item and explain how the item supports the project goals and objectives as outlined in your application. Please limit your descriptions to 1000 characters.

Consultant Expenses: List all other expenses to be paid from the award to the individual consultants separate from their consultant fees and travel expenses (e.g., computer equipment and office supplies).

G. OTHER COSTS

☐ **No Other Costs Requested**

Instructions: List other requested items that will support the project goals and objectives as outlined in your application. Provide a specific description for each item in the description boxes below and explain how the item supports the project goals and objectives as outlined in your application.

Please be advised that, to the greatest extent practical, all equipment and products purchased with these funds must be American-made.

See <https://cops.usdoj.gov/Default.asp?Item=46> for a list of allowable/unallowable costs for this program. Please limit your descriptions to 1000 characters.

| Name | Cost | Qty | Sub Total | Description | |
|------|------|-----|-----------|-------------|--|
|------|------|-----|-----------|-------------|--|

CPD's EIS Project Training Lead, Lieutenant John (Jack) Benigno (résumé attached) will work directly with the Academy's EIS sergeant and officer instructors, project consultants and the University of Chicago Crime Lab contractual partner to: 1) develop and implement an eight (8) hour EIS curriculum for delivery to all CPD sergeants, and incorporate that curriculum into the New Sergeants Training program for post EIS project implementation; 2) develop and implement an 80-hour Train the Trainer EIS curriculum; and 3) provide training delivery oversight and project data and observations to the EIS Project Team.

CALCULATION:

- Year One Lieutenant Overtime: 100 hours @ \$85.02 = \$8,502
 - Year Two Lieutenant Overtime: 50 hours @ \$85.02 = \$4,251
- Total - \$12,753

[Delete](#)

Year 1 and Year 2 Overtime Lieute

Base cost:

85.02

150

12753.00

| |
|--------------------------|
| Year 1 Overtime Sergeant |
|--------------------------|

| | | |
|-------|------------|-----|
| | Base cost: | |
| 75.45 | | 100 |

| |
|---------|
| 7545.00 |
|---------|

[Delete](#)

CPD's EIS Project Training Lead, Lieutenant John (Jack) Benigno (résumé attached) will work directly with the Academy's EIS sergeant and officer instructors, project consultants and the University of Chicago Crime Lab contractual partner to: 1) develop and implement an eight (8) hour EIS curriculum for delivery to all CPD sergeants, and incorporate that curriculum into the New Sergeants Training program for post EIS project implementation; 2) develop and implement an 80-hour Train the Trainer EIS curriculum; and 3) provide training delivery oversight and project data and observations to the EIS Project Team.

CALCULATION:

- Year One Sergeant Overtime: 100 hours @ \$75.45 = \$7,545

| |
|--------------------------------|
| Year 1 Overtime Police Officer |
|--------------------------------|

| | | |
|-------|------------|-----|
| | Base cost: | |
| 64.92 | | 200 |

| |
|----------|
| 12984.00 |
|----------|

[Delete](#)

CPD's EIS Project Training Lead, Lieutenant John (Jack) Benigno (résumé attached) will work directly with the Academy's EIS sergeant and officer instructors, project consultants and the University of Chicago Crime Lab contractual partner to: 1) develop and implement an eight (8) hour EIS curriculum for delivery to all CPD sergeants, and incorporate that curriculum into the New Sergeants Training program for post EIS project implementation; 2) develop and implement an 80-hour Train the Trainer EIS curriculum; and 3) provide training delivery oversight and project data and observations to the EIS Project Team.

CALCULATION:

- Year One Officer Instructor Overtime: 200 @ \$64.92 = \$12,984

Year 1 Overtime Sergeant/Police Officer

Base cost: 70.19 160

11230.40

Train the Trainer Curriculum Delivery: One Academy 15-year Sergeant and one Academy 15-year Officer instructor will deliver an 80-hour Train the Trainer EIS curriculum to officer instructors who will then deliver the EIS curriculum to over 1,200 CPD sergeants.

[Delete](#)

CALCULATION:

- Year One blended-rate (Sergeant and Officer) Overtime: 160 hours @ \$70.19 = \$11,230

Total: \$11,230

EIS Curriculum Delivery to Approximately 1,200 Sergeants: With a maximum class size of 25, CPD projects delivering 40 eight-hour EIS training sessions in Year One and 20 sessions in Year Two to train all CPD sergeants throughout the 24-month project period of performance. Four (4) Academy officer instructors will teach each class. 30 (20 in Year One and 10 in Year Two) classes will be delivered Monday through Friday during normal Academy instructional hours of 7:00 a.m. to 3:00 p.m. at no cost to this grant budget. However, 30 additional classes (20 in Year One and 10 in Year Two) will be scheduled from 4:00 p.m. to midnight, perhaps on different days of the week, to accommodate 1) sergeants assigned to various scheduled watches and 2) other demands on Academy instructor time.

Year 1 and Year 2 Overtime Police Officer

Base cost: 62323.00 1

62323.00

CALCULATION:

- Year One: four (4) officer instructors x 8 hours @ \$64.92 x 20 classes = \$41,549
- Year Two: four (4) officer instructors x 8 hours @ \$64.92 x 10 classes = \$20,774

Total: \$62,323[Delete](#)

Total: 106835.40

[Add Item](#)**H. INDIRECT COSTS**

☐ No Indirect Costs Requested

Instructions: In the description box, please provide the following information: Type of rate (provisional, final, predetermined, fixed, etc.), the indirect cost rate base, the rate percentage for each location, the effective period, and the cognizant agency name. Indirect costs are allowed under a very limited number of specialized COPS Office programs. Please see <http://www.cops.usdoj.gov/Default.asp?Item=46> for a list of allowable/unallowable costs for the particular program to which you are applying.

If your organization is requesting indirect costs for this project, please include a copy of your current, signed federally approved indirect Cost Rate Negotiated Agreement as an attachment in Section 13. If the applicant does not have an approved rate, a rate can be requested by contacting the applicant's cognizant federal agency, which will review all documentation and approve a rate for the applicant organization. Please limit your description to 1000 characters.

Indirect Cost Description

| Indirect Cost Description | Budget Base Total (\$) | Indirect Rate (%) | Approved Indirect Cost | Description (Explain how this item supports the project goals and objectives) | |
|---------------------------|------------------------|-------------------|------------------------|---|--------|
| City of Chicago IDC | 283099.00 | 5.00 | 14154.95 | Although the Chicago Police Department has an approved 17.33 percent indirect cost rate as calculated by the City of Chicago Department of Finance based on the Cost Principles for State, Local, and Indian Tribal Governments as found in 2 CFR Part 200, the City of Chicago has agreed to accept a significantly reduced indirect cost rate of 5 percent for this project in recognition of the potential that CPD's FY18 COPS Community Policing Development EIS Sergeant Training project has to improve public safety in Chicago. Using the MTDC formula, CPD calculates the base to be \$283,099 and is including a \$14,155 indirect cost charge to the grant. | Delete |

Total : 14154.95

[Add Item](#)

S. BUDGET SUMMARY

Instructions: Please review the category totals and the total project costs below. If the category totals and project amounts shown are correct, please continue with the submission of your application. Should you need to make revisions to a budget category, please return to the Budget Detail Worksheet.

Section

| Budget Category | Category Total | |
|--|----------------|-------------|
| A. Sworn Officer Positions | \$0.00 | |
| B. Non-Sworn Personnel | \$0.00 | |
| C. Equipment & Technology | \$0.00 | |
| D. Supplies | \$368.00 | |
| E. Travel & Training | \$0.00 | |
| F. Contracts & Consultants | \$175895.50 | |
| G. Other Costs | \$106835.40 | |
| H. Indirect Costs | \$14154.95 | |
| Total Project Amount: | \$297253.85 | |
| Total Federal Share Amount: (Total Project Amount X Federal Share Percentage Allowable) | \$297253.85 | 100.000000% |
| Total Local Share Amount (If applicable): | . | |

Contact Information for Budget Questions

Please provide contact information of the financial official that the COPS Office may contact with questions related to your budget submission.

First Name:

Cheryl

Last Name:

Robinson

Title:

Grants Research Spe

Telephone Number:

3127455752

Fax:

Email Address:

cheryl.robinson@chi

SECTION 15A: U.S. DEPARTMENT OF JUSTICE CERTIFIED STANDARD ASSURANCES

On behalf of the Applicant, and in support of this application for a grant or cooperative agreement, I certify under penalty of perjury to the U.S. Department of Justice ("Department"), that all of the following are true and correct:

- (1) I have the authority to make the following representations on behalf of myself and the Applicant. I understand that these representations will be relied upon as material in any Department decision to make an award to the Applicant based on its application.
- (2) I certify that the Applicant has the legal authority to apply for the federal assistance sought by the application, and that it has the institutional, managerial, and financial capability (including funds sufficient to pay any required non-federal share of project costs) to plan, manage, and complete the project described in the application properly.
- (3) I assure that, throughout the period of performance for the award (if any) made by the Department based on the application--
 - a. the Applicant will comply with all award requirements and all federal statutes and regulations applicable to the award;
 - b. the Applicant will require all subrecipients to comply with all applicable award requirements and all applicable federal statutes and regulations; and
 - c. the Applicant will maintain safeguards to address and prevent any organizational conflict of interest, and also to prohibit employees from using their positions in any manner that poses, or appears to pose, a personal or financial conflict of interest.
- (4) The Applicant understands that the federal statutes and regulations applicable to the award (if any) made by the Department based on the application specifically include statutes and regulations pertaining to civil rights and nondiscrimination, and, in addition--
 - a. the Applicant understands that the applicable statutes pertaining to civil rights will include section 601 of the Civil Rights Act of 1964 (42 U.S.C. § 2000d); section 504 of the Rehabilitation Act of 1973 (29 U.S.C. § 794); section 901 of the Education Amendments of 1972 (20 U.S.C. § 1681); and section 303 of the Age Discrimination Act of 1975 (42 U.S.C. § 6102);
 - b. the Applicant understands that the applicable statutes pertaining to nondiscrimination may include section 809(c) of Title I of the Omnibus Crime Control and Safe Streets Act of 1968 (34 U.S.C. § 10228(c)); section 1407(e) of the Victims of Crime Act of 1984 (34 U.S.C. § 20110(e)); section 299A(b) of the Juvenile Justice and Delinquency Prevention Act of 2002 (34 U.S.C. § 11182(b)); and that the grant condition set out at section 40002(b)(13) of the Violence Against Women Act (34 U.S.C. § 12291(b)(13)), which will apply to all awards made by the Office on Violence Against Women, also may apply to an award made otherwise;
 - c. the Applicant understands that it must require any subrecipient to comply with all such applicable statutes (and associated regulations); and;
 - d. on behalf of the Applicant, I make the specific assurances set out in 28 C.F.R. §§ 42.105 and 42.204.
- (5) The Applicant also understands that (in addition to any applicable program-specific regulations and to applicable federal regulations that pertain to civil rights and nondiscrimination) the federal regulations applicable to the award (if any) made by the Department based on the application may include, but are not limited to, 2 C.F.R. Part 2800 (the DOJ "Part 200 Uniform Requirements") and 28 C.F.R. Parts 22 (confidentiality - research and statistical information), 23 (criminal intelligence systems), 38 (regarding faith-based or religious organizations participating in federal financial assistance programs), and 46 (human subjects protection).
- (6) I assure that the Applicant will assist the Department as necessary (and will require subrecipients and contractors to assist as necessary) with the Department's compliance with section 106 of the National Historic Preservation Act of 1966 (54 U.S.C. § 306108), the Archeological and Historical Preservation Act of 1974 (54 U.S.C. §§ 312501-312508), and the National Environmental Policy Act of 1969 (42 U.S.C. §§ 4321-4335), and 28 C.F.R. Parts 61 (NEPA) and 63 (floodplains and wetlands).
- (7) I assure that the Applicant will give the Department and the Government Accountability Office, through any authorized representative, access to, and opportunity to examine, all paper or electronic records related to the award (if any) made by the Department based on the application.
- (8) I assure that, if the Applicant is a governmental entity, with respect to the award (if any) made by the Department based on the application--
 - a. it will comply with the requirements of the Uniform Relocation Assistance and Real Property Acquisitions Act of 1970 (42 U.S.C. §§ 4601-4655), which govern the treatment of persons displaced as a result of federal and federally-assisted programs; and
 - b. it will comply with requirements of 5 U.S.C. §§ 1501-1508 and 7324-7328, which limit certain political activities of State or local government employees whose principal employment is in connection with an activity financed in whole or in part by federal assistance.

(9) If the Applicant applies for and receives an award from the Office of Community Oriented Policing Services (COPS Office), I assure that as required by 34 U.S.C. § 10382(c)(11), it will, to the extent practicable and consistent with applicable law—including, but not limited to, the Indian Self-Determination and Education Assistance Act—seek, recruit, and hire qualified members of racial and ethnic minority groups and qualified women in order to further effective law enforcement by increasing their ranks within the sworn positions, as provided under 34 U.S.C. § 10382(c)(11).

I acknowledge that a materially false, fictitious, or fraudulent statement (or concealment or omission of a material fact) in this certification, or in the application that it supports, may be the subject of criminal prosecution (including under 18 U.S.C. §§ 1001 and/or 1621, and/or 34 U.S.C. §§ 10271-10273), and also may subject me and the Applicant to civil penalties and administrative remedies for false claims or otherwise (including under 31 U.S.C. §§ 3729-3730 and 3801-3812). I also acknowledge that the Department's awards, including certifications provided in connection with such awards, are subject to review by the Department, including by its Office of the Inspector General.

Signature of Law Enforcement Executive/Agency Executive (For your electronic signature, please type in your name) Eddie Johnson

Eddie Johnson

Date:

06/07/2018

Signature of Government Executive/Financial Official (For your electronic signature, please type in your name) Rahm Emanuel

Rahm Emanuel

Date:

06/07/2018

SECTION 15B: U. S. DEPARTMENT OF JUSTICE CERTIFICATIONS REGARDING LOBBYING; DEBARMENT, SUSPENSION AND OTHER RESPONSIBILITY MATTERS; AND DRUG-FREE WORKPLACE REQUIREMENTS

Applicants should refer to the regulations cited below to determine the certification to which they are required to attest. Applicants should also review the instructions for certification included in the regulations before completing this form. The certifications shall be treated as a material representation of fact upon which reliance will be placed when the U.S. Department of Justice ("Department") determines to award the covered transaction, grant, or cooperative agreement.

1. Lobbying

As required by 31 U.S.C. § 1352, as implemented by 28 C.F.R. Part 69, the Applicant certifies and assures (to the extent applicable) the following:

(a) No Federal appropriated funds have been paid or will be paid, by or on behalf of the Applicant, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the making of any Federal grant, the entering into of any cooperative agreement, or the extension, continuation, renewal, amendment, or modification of any Federal grant or cooperative agreement;

(b) If the Applicant's request for Federal funds is in excess of \$100,000, and any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress in connection with this Federal grant or cooperative agreement, the Applicant shall complete and submit Standard Form - LLL, "Disclosure of Lobbying Activities" in accordance with its (and any DOJ awarding agency's) instructions; and

(c) The Applicant shall require that the language of this certification be included in the award documents for all subgrants and procurement contracts (and their subcontracts) funded with Federal award funds and shall ensure that any certifications or lobbying disclosures required of recipients of such subgrants and procurement contracts (or their subcontractors) are made and filed in accordance with 31 U.S.C. § 1352.

2. DEBARMENT, SUSPENSION, AND OTHER RESPONSIBILITY MATTERS

A. Pursuant to Department regulations on nonprocurement debarment and suspension implemented at 2 C.F.R. Part 2867, and to other related requirements, the Applicant certifies, with respect to prospective participants in a primary tier "covered transaction," as defined at 2 C.F.R. § 2867.20(a), that neither it nor any of its principals—

(a) is presently debarred, suspended, proposed for debarment, declared ineligible, sentenced to a denial of Federal benefits by a State or Federal court, or voluntarily excluded from covered transactions by any Federal department or agency;

(b) has within a three-year period preceding this application been convicted of a felony criminal violation under any Federal law, or been convicted or had a civil judgment rendered against it for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State, tribal, or local) transaction or private agreement or transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, tax evasion or receiving stolen property, making false claims, or obstruction of justice, or commission of any offense indicating a lack of business integrity or business honesty that seriously and directly affects its (or its principals') present responsibility;

(c) is presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State, tribal, or local) with commission of any of the offenses enumerated in paragraph (b) of this certification; and/or

(d) has within a three-year period preceding this application had one or more public transactions (Federal, State, tribal, or local) terminated for cause or default.

B. Where the Applicant is unable to certify to any of the statements in this certification, it shall attach an explanation to this application. Where the Applicant or any of its principals was convicted, within a three-year period preceding this application, of a felony criminal violation under any Federal law, the Applicant also must disclose such felony criminal conviction in writing to the Department (for OJP Applicants, to OJP at Ojpcompliancereporting@usdoj.gov ; for OVW Applicants, to OVW at OVW.GFMD@usdoj.gov; or for COPS Applicants, to COPS at AskCOPSRC@usdoj.gov), unless such disclosure has already been made.

3. FEDERAL TAXES

A. If the Applicant is a corporation, it certifies either that (1) the corporation has no unpaid Federal tax liability that has been assessed, for which all judicial and administrative remedies have been exhausted or have lapsed, that is not being paid in a timely manner pursuant to an agreement with the authority responsible for collecting the tax liability, or (2) the corporation has provided written notice of such an unpaid tax liability (or liabilities) to the Department (for OJP Applicants, to OJP at Ojpcompliancereporting@usdoj.gov; for OVW Applicants, to OVW at OVW.GFMD@usdoj.gov; or for COPS Applicants, to COPS at AskCOPSRC@usdoj.gov).

B. Where the Applicant is unable to certify to any of the statements in this certification, it shall attach an explanation to this application.

4. DRUG-FREE WORKPLACE (GRANTEES OTHER THAN INDIVIDUALS)

As required by the Drug-Free Workplace Act of 1988, as implemented at 28 C.F.R. Part 83, Subpart F, for grantees, as defined at 28 C.F.R. §§ 83.620 and 83.650:

A. The Applicant certifies and assures that it will, or will continue to, provide a drug-free workplace by—

(a) Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in its workplace and specifying the actions that will be taken against employees for violation of such prohibition;

(b) Establishing an on-going drug-free awareness program to inform employees about—

(1) The dangers of drug abuse in the workplace;

(2) The Applicant's policy of maintaining a drug-free workplace;

(3) Any available drug counseling, rehabilitation, and employee assistance programs; and

(4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;

(c) Making it a requirement that each employee to be engaged in the performance of the award be given a copy of the statement required by paragraph (a);

(d) Notifying the employee in the statement required by paragraph (a) that, as a condition of employment under the award, the employee will—

(1) Abide by the terms of the statement; and

(2) Notify the employer in writing of the employee's conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

(e) Notifying the Department, in writing, within 10 calendar days after receiving notice under subparagraph (d)(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title of any such convicted employee to the Department, as follows: For COPS award recipients - COPS Office, 145 N Street, NE, Washington, DC, 20530; For OJP and OVW award recipients - U.S. Department of Justice, Office of Justice Programs, ATTN: Control Desk, 810 7th Street, N.W., Washington, D.C. 20531. Notice shall include the identification number(s) of each affected award;

(f) Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph (d)(2), with respect to any employee who is so convicted:

(1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

(2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency; and

(g) Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs (a), (b), (c), (d), (e), and (f).

5. COORDINATION REQUIRED UNDER PUBLIC SAFETY AND COMMUNITY POLICING PROGRAMS

As required by the Public Safety Partnership and Community Policing Act of 1994, at 34 U.S.C. § 10382(c)(5), if this application is for a COPS award, the Applicant certifies that there has been appropriate coordination with all agencies that may be affected by its award. Affected agencies may include, among others, Offices of the United States Attorneys; State, local, or tribal prosecutors; or correctional agencies.

I acknowledge that a materially false, fictitious, or fraudulent statement (or concealment or omission of a material fact) in this certification, or in the application that it supports, may be the subject of criminal prosecution (including under 18 U.S.C. §§ 1001 and/or 1621, and/or 34 U.S.C. §§ 10271-10273), and also may subject me and the Applicant to civil penalties and administrative remedies for false claims or otherwise (including under 31 U.S.C. §§ 3729-3730 and 3801-3812). I also acknowledge that the Department's awards, including certifications provided in connection with such awards, are subject to review by the Department, including by its Office of the Inspector General.

Signature of Law Enforcement Executive/Agency Executive (For your electronic signature, please type in your name) Eddie Johnson

Eddie Johnson

Date:

06/07/2018

Signature of Government Executive/Financial Official (For your electronic signature, please type in your name) Rahm Emanuel

Rahm Emanuel

Date:

06/07/2018

☐ Where the Applicant is unable to certify to any of the statements in this certification, it shall attach an explanation to this application in Section 13.

SECTION 16A: DISCLOSURE OF LOBBYING ACTIVITIES

This section duplicates OMB's Instructions for Completion of SF-LLL, Disclosure of Lobbying Activities

This disclosure form shall be completed by the reporting entity, whether subawardee or prime Federal recipient, at the initiation or receipt of a covered Federal action, or a material change to a previous filing, pursuant to title 31 U.S.C. § 1352. The filing of a form is required for each payment or agreement to make payment to any lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a covered Federal action. Complete all items that apply for both the initial filing and material change report. Refer to the implementing guidance published by the Office of Management and Budget for additional information.

1. Identify the type of covered Federal action for which lobbying activity is and/or has been secured to influence the outcome of a covered Federal action.
2. Identify the status of the covered Federal action.
3. Identify the appropriate classification of this report. If this is a follow-up report caused by a material change to the information previously reported, enter the year and quarter in which the change occurred. Enter the date of the last previously submitted report by this reporting entity for this covered Federal action.
4. Enter the full name, address, city, state and zip code of the reporting entity. Include Congressional District number, if known. Check the appropriate classification of the reporting entity that designates if it is, or expects to be, a prime or subaward recipient. Identify the tier of the subawardee, e.g., the first subawardee of the prime is the 1st tier. Subawards include but are not limited to subcontracts, subgrants and contract awards under awards.
5. If the organization filing the report in item 4 checks "Subawardee," then enter the full name, address, city, state and zip code of the prime Federal recipient. Include Congressional District, if known.
6. Enter the name of the Federal agency making the award or loan commitment. Include at least one organizational level below agency name, if known. For example, U.S. Department of Transportation, United States Coast Guard.
7. Enter the Federal program name or description for the covered Federal action (item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans and loan commitments.
8. Enter the most appropriate Federal identifying number available for the Federal action identified in item 1 (e.g., Request for Proposal (RFP) number; Invitation for Bid (IFB) number; award announcement number; the contract, grant, or loan award number; the application/proposal control number assigned by the Federal agency). Include prefixes, e.g., "RFPD E-90-001."
9. For a covered Federal action where there has been an award or loan commitment by the Federal agency, enter the Federal amount of the award/loan commitment for the prime entity identified in item 4 or 5.
10. (a) Enter the full name, address, city, state and zip code of the lobbying entity engaged by the reporting registrant identified in item 4 to influence the covered Federal action.
(b) Enter the full name(s) of the individual(s) performing services, and include full address if different from 10 (a). Enter Last Name, First Name, and Middle Initial (MI).
11. The certifying official shall sign and date the form, print his/her name, title and telephone number.

According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is OMB No. 4040-0013 Public reporting burden for this collection of information is estimated to average 10 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (4040-0013), Washington, DC 20503.

Disclosure of Lobbying Activities

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. § 1352.

☒ Not Applicable

SECTION 16B: CERTIFICATION OF COMPLIANCE WITH 8 U.S.C. § 1373

The following Certification must be completed by all State or local government entities and, for the Community Policing Development Program, by non-State or local government entities that intend to use these funds, if awarded, to make subawards to a State or local government entity. To determine whether this Certification applies to your application, please check the appropriate box below:

- ☒ The applicant entity is a State or local government entity OR will use these funds, if awarded, to make subawards to a State or local government entity. (Certification Required)
- ☐ The applicant entity is a Tribal entity, non-profit entity, private entity, or other entity that is not a State or local government entity AND will not use these funds, if awarded, to make subawards to a State or local government entity. (Certification Not Required)

Certification of Compliance with 8 U.S.C. § 1373

On behalf of the applicant entity named below, I certify under penalty of perjury to the Office of Community Oriented Policing Services, U.S. Department of Justice, that all of the following is true and correct:

- (1) I am chief legal officer for the applicant entity named below and have the authority to make this certification on behalf of the applicant entity (that is, the entity applying directly to the Office of Community Oriented Policing Services). For purposes of this certification, the applicant entity's "chief legal officer" is that of the applicant's State or local governing body (e.g., State, City, County) or of the non-State or local government entity that is applying for funds and will make subawards to a State or local government entity.

(2) I have carefully reviewed 8 U.S.C. § 1373(a) and (b), including the prohibitions on certain actions by State and local government entities and officials regarding information on citizenship and immigration status. I have reviewed the provisions set out at 8 U.S.C. § 1551 note, pursuant to which references to the Immigration and Naturalization Service in 8 U.S.C. § 1373 are to be read, as a legal matter, as references to particular components of the U.S. Department of Homeland Security.

(3) I understand that the U.S. Department of Justice will require States and units of local government to comply with 8 U.S.C. § 1373 with respect to any "program or activity" funded in whole or in part with the federal financial assistance provided under the FY 2018 Office of Community Oriented Policing Services programs, including any such program or activity of a governmental entity that is a subrecipient (at any tier) of funds under an FY 2018 program.

(4) I understand that, for purposes of this certification, "program or activity" means what it means under section 606 of title VI of the Civil Rights Act of 1964 (42 U.S.C. § 2000d-4a).

(5) I have conducted (or caused to be conducted on my behalf) a diligent inquiry and review concerning both—

(a) the "program or activity" to be funded (in whole or in part) with the federal financial assistance sought by the applicant entity under the FY 2018 program, and

(b) any prohibitions or restrictions potentially applicable to the program or activity funded under the FY 2018 program that deal with sending to, requesting or receiving from, maintaining, or exchanging information of the types described in 8 U.S.C. § 1373(a) or (b), whether imposed by a State or local government entity or official.

(6) As of the date of this certification, no State or local government entity or official has in effect (or purports to have in effect) any prohibition or restriction that is applicable to the program or activity to be funded in whole or in part under the FY 2018 program and that deals with sending to, requesting or receiving from, maintaining, or exchanging information of the types described in 8 U.S.C. § 1373(a) or (b).

I acknowledge that a false statement in this certification, or in the application that it supports, may be the subject of criminal prosecution (including under 18 U.S.C. §§ 1001 and/or 1621, and/or 42 U.S.C. § 3795), of administrative action, and/or of civil action in court. I also acknowledge that Office of Community Oriented Policing Services awards, including certifications provided in connection with such awards, are subject to review by the Office of Community Oriented Policing Services and/or by the Department of Justice's Office of the Inspector General.

Signature of Chief Legal Officer (For your electronic signature, please type in your name) Edward Siskel

Edward Siskel

Date of Certification:

06/07/2018

Title of Chief Legal Officer

*(see addendum)Col

Name of Applicant Entity: Chicago, City of

SECTION 16C

State and local law enforcement agency applicants have the opportunity to receive additional points based on their cooperation with federal immigration officials to address illegal immigration.

A. State or local law enforcement agency applicants may receive additional points if the agency has a 287(g) partnership, defined for this purpose as a partnership with the U.S. Department of Homeland Security ("DHS") under 8 U.S.C. § 1357(g)(1) whereby officers of the applicant entity are delegated limited immigration officer authority to identify and process for removal aliens in the custody of the entity named below under the direction and supervision of DHS, documented with the official 287(g) Memorandum of Agreement with the Department of Homeland Security Immigration and Customs Enforcement. To receive these additional points, please complete the following:

☐ By checking this box I certify that this law enforcement agency applicant has a 287(g) Partnership documented with the official 287(g) Memorandum of Agreement with the Department of Homeland Security Immigration and Customs Enforcement as of the date that this application is submitted.

☒ No, my agency does not operate a detention or correctional facility (a prison or jail in which individuals are fingerprinted and detained for periods of 24 hours or longer).

SECTION 17: REVIEWS AND CERTIFICATIONS

1) Federal Civil Rights and Award Reviews:

Please be advised that an application may not be funded and, if awarded, a hold may be placed on the award if it is deemed that the applicant is not in compliance with federal civil rights laws, and/or is not cooperating with an ongoing federal civil rights investigation, and/or is not cooperating with a U.S. Department of Justice award review or audit.

2) Certification of Review of 28 C.F.R. Part 23/Criminal Intelligence Systems:

Please review the COPS Office application guide: Legal Requirements Section for additional information.

Please check one of the following, as applicable to your agency's intended use of this award:

- ☒ No, my agency will not use these COPS Office funds (if awarded) to operate an interjurisdictional criminal intelligence system.
- ☐ Yes, my agency will use these COPS Office funds (if awarded) to operate an interjurisdictional criminal intelligence system. By signing below, we assure that our agency will comply with the requirements of 28 C.F.R. Part 23.

3) Certification of Review and Representation of Compliance with Requirements:

The signatures of the Law Enforcement Executive/Agency Executive, Government Executive/Financial Official, and the Person Submitting this Application on the Reviews and Certifications represent to the COPS Office that:

- a) the signatories have been legally and officially authorized by the appropriate governing body to submit this application and act on behalf of the applicant entity;
- b) the applicant will comply with all legal, administrative, and programmatic requirements that govern the applicant for acceptance and use of federal funds as outlined in the applicable COPS Office application guide, the COPS Office award owner's manual, Assurances, Certifications and all other applicable program regulations, laws, orders, and circulars;
- c) the applicant understands that false statements or claims made in connection with COPS Office programs may result in fines, imprisonment, debarment from participating in federal awards, cooperative agreements, or contracts, or any other remedy available by law to the Federal Government;
- d) the information provided in this application, including any amendments, shall be treated as material representations of fact upon which reliance will be placed when the U.S. Department of Justice determines to fund the covered award;
- e) the applicant understands that as a general rule COPS Office funding may not be used for the same item or service funded through another funding source; and
- f) the applicant and any required or identified official partner(s) listed in section 12 are partners in this award project and mutually agreed to this partnership prior to this application.

The signatures of the Law Enforcement Executive/Agency Executive and the Government Executive/Financial Official on this application must be the same as those identified in Section 4 of this application. Applications with missing, incomplete, or inaccurate signatories or responses may not be considered for funding.

Signature of Law Enforcement Executive/Agency Executive (For your electronic signature, please type in your name) Eddie Johnson

Date:

Signature of Government Executive/Financial Official (For your electronic signature, please type in your name) Rahm Emanuel

Date:

Signature of Person Submitting This Application (For your electronic signature, please type in your name)

Date:

☒ By clicking this box, the applicant understands that the use of typed names in this application and the required forms, including the Assurances, Certifications, and Disclosure of Lobbying Activities form, constitute electronic signatures and that the electronic signatures are the legal equivalent of handwritten signatures.

APPLICATION REVIEW

No other review items found for this agency.

Your application has been successfully recorded.

Submission Date: 2018-06-07 18:39:01

ORI: ILCPD00

Confirmation Number: 1008142_1083660949

Program Type: Community Policing Development

Thank you for submitting your agency's COPS Application. If you have any questions or concerns you may contact the COPS Office Response Center at 800.421.6770. When contacting the COPS Office concerning the submission of this application, please reference your agency's ORI number.

[Click here](#) to return to the COPS Application home page.

Paperwork Reduction Act Notice

The public reporting burden for this collection of information is estimated to be up to 11.3 hours per response, depending upon the COPS program being applied for, which includes time for reviewing instructions. Send comments regarding this burden estimate or any other aspects of the collection of this information, including suggestions for reducing this burden, to the Office of Community Oriented Policing Services, U.S. Department of Justice, 145 N Street NE, Washington, DC 20530; and to the Public Use Reports Project, Office of Information and Regulatory Affairs, Office of Management and Budget, Washington, DC 20503.

You are not required to respond to this collection of information unless it displays a valid OMB control number. The OMB control number for this application is

1103-0098 and the expiration date is 05/31/2020.